

# Lock-free by Example

(one very complicated example)



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# Guide to Threaded Coding

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Use Locks

# Guide to Threaded Coding

1. Forget what you learned in Kindergarten  
(ie *stop Sharing*)
2. Use Locks
3. Measure
4. Measure
5. Change your Algorithm
6. GOTO 1
- $\infty$ . **Lock-free**

***Lock-free coding is the last thing you want to do.***

# Guide to Threaded Coding

Use Locks

# Guide to Threaded Coding



# Guide to ~~Threaded~~ Coding

**MACROS are EVIL**

# NOTE:

CAS = compare\_exchange



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CAS = compare\_exchange

Not my coding style/structure

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CAS = compare\_exchange

Not my coding style/structure

Remember to lower the audience's expectations:



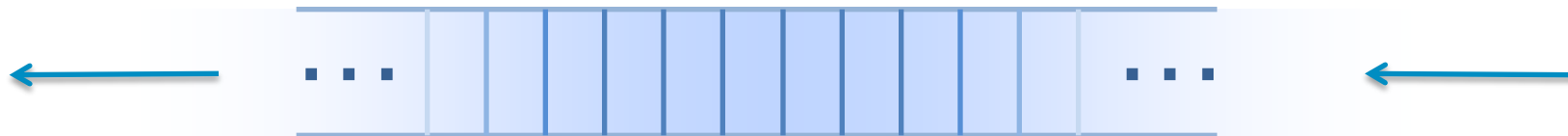
# NOTE:

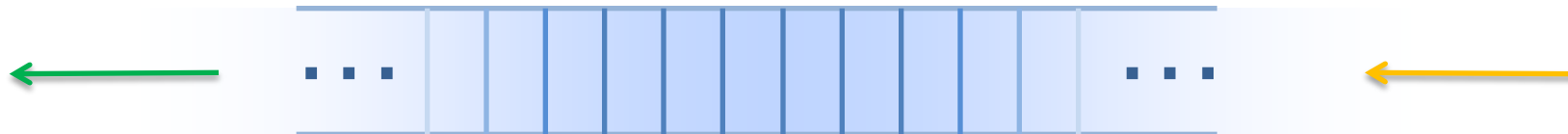
CAS = compare\_exchange

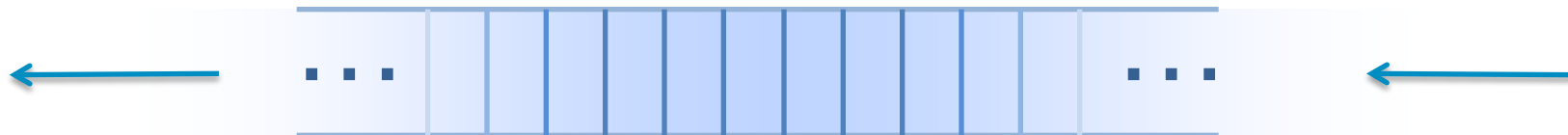
Not my coding style/structure

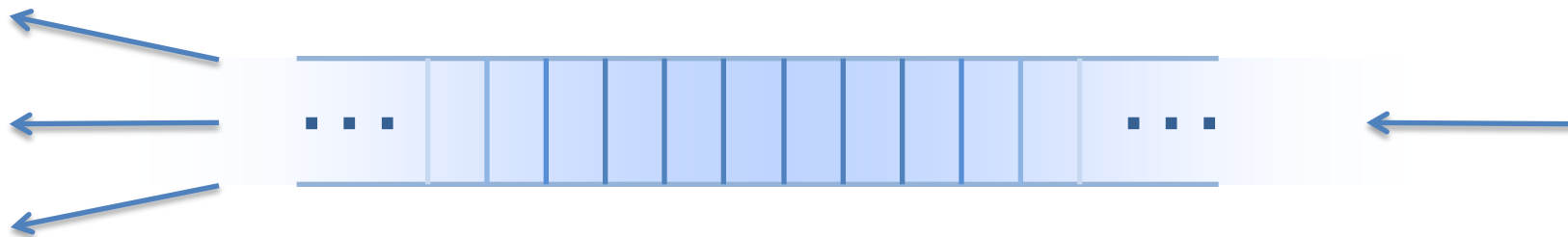
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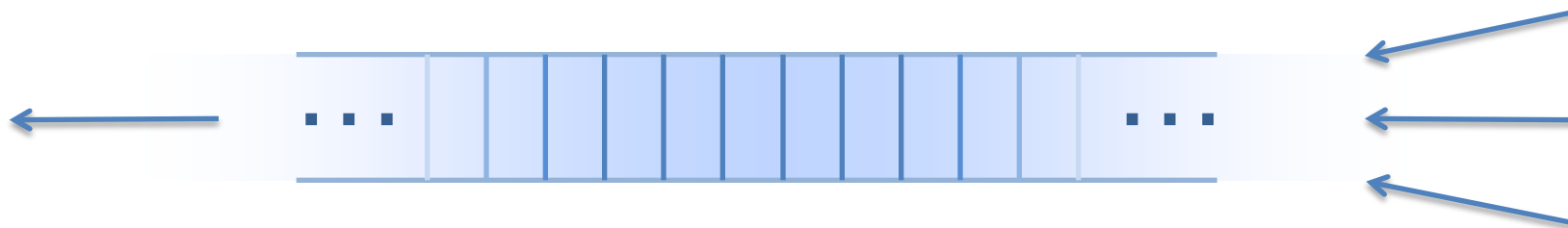
I'm no Paul McKenney

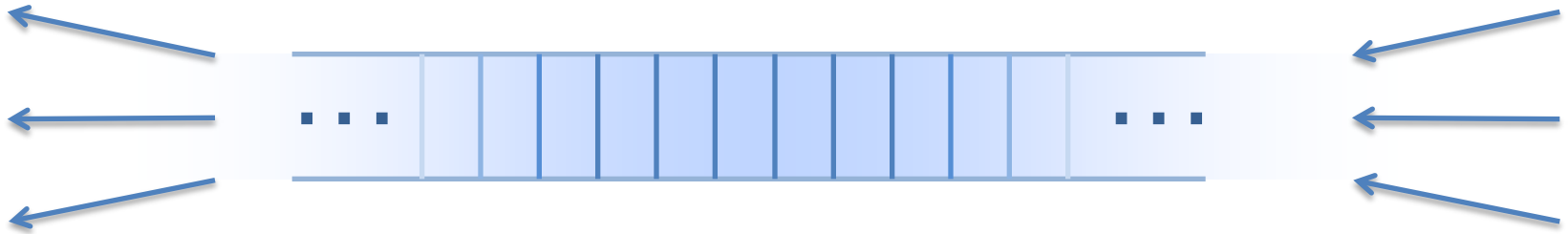




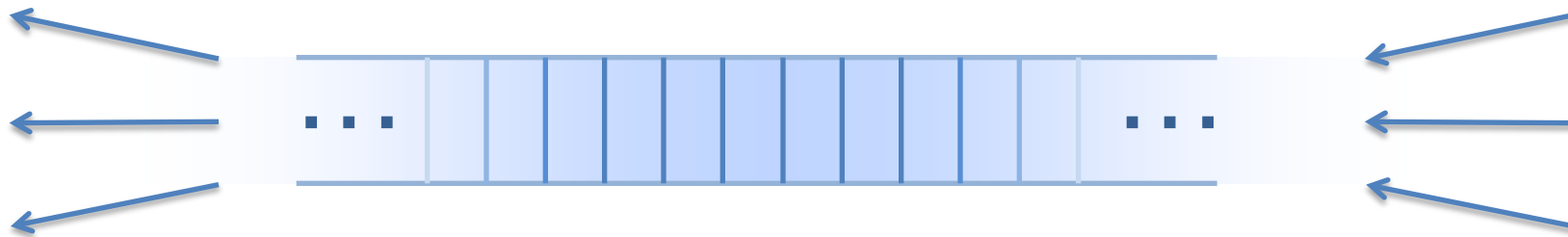




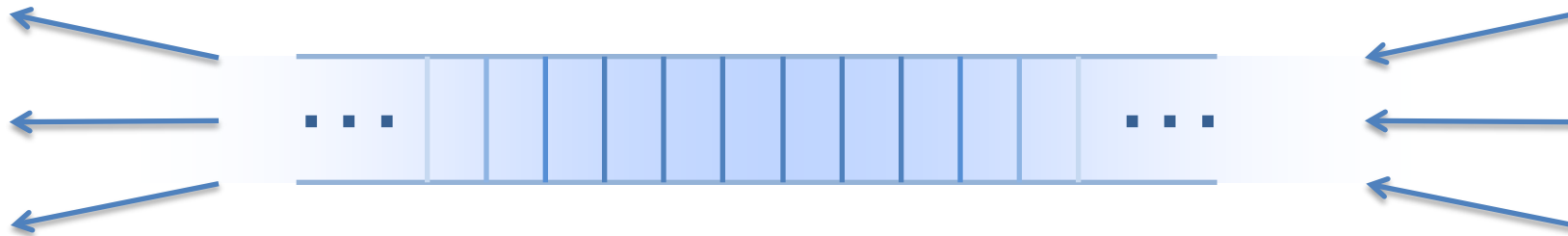




# Multi-Producer Multi-Consumer Queue

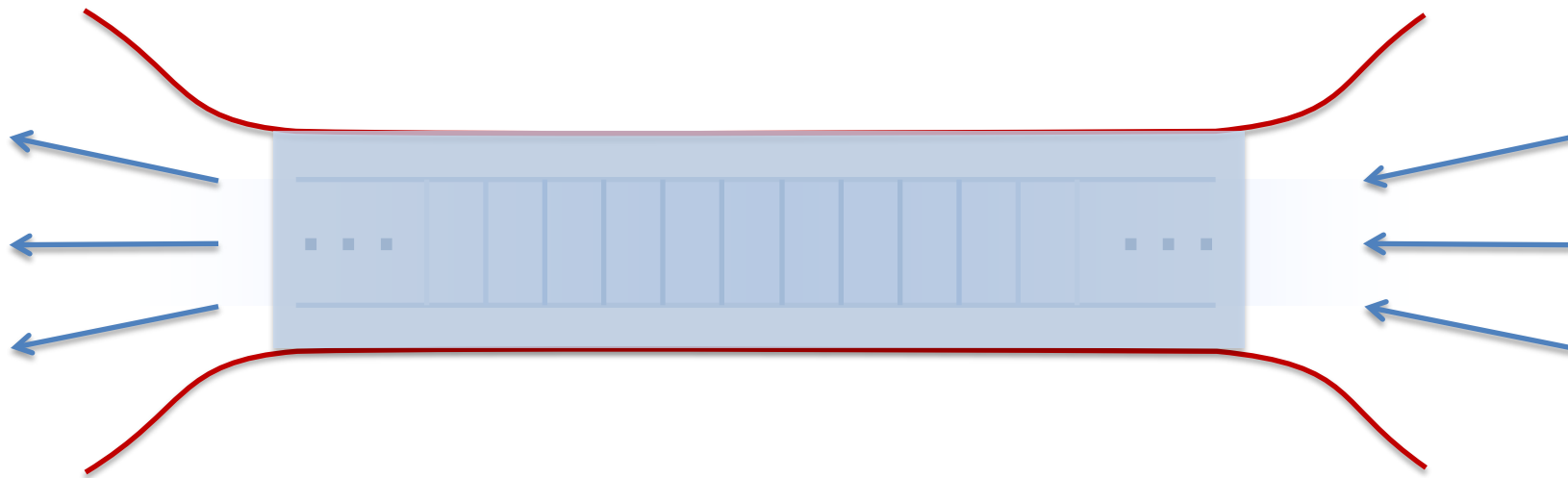


# MPMC Queue





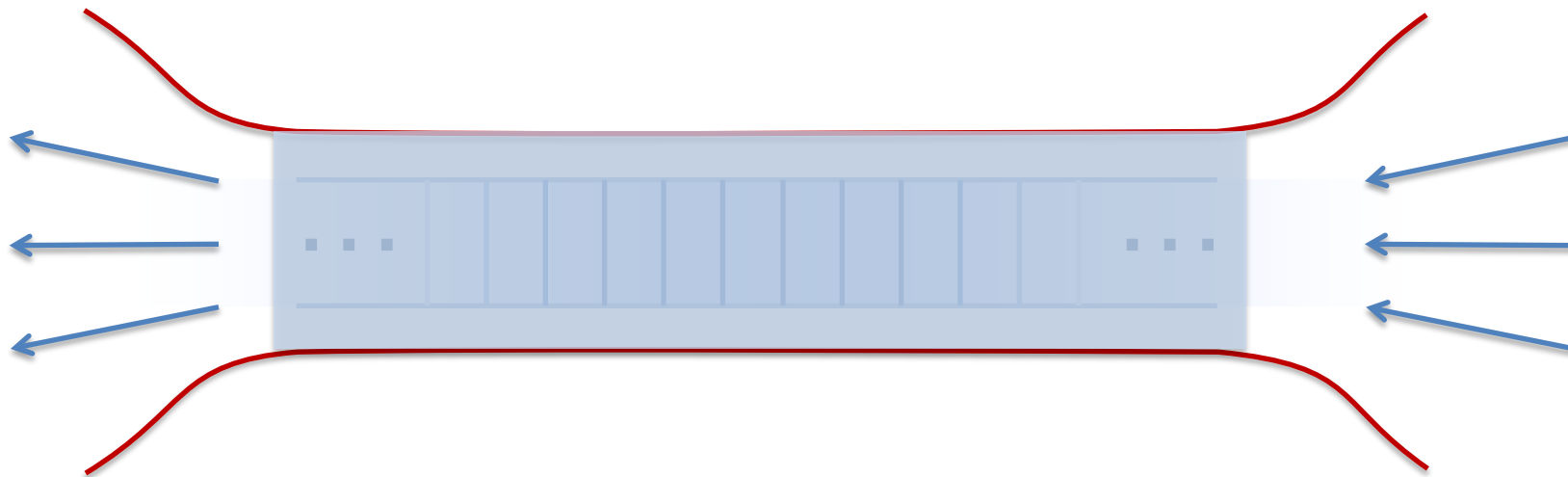
# MPMC Queue



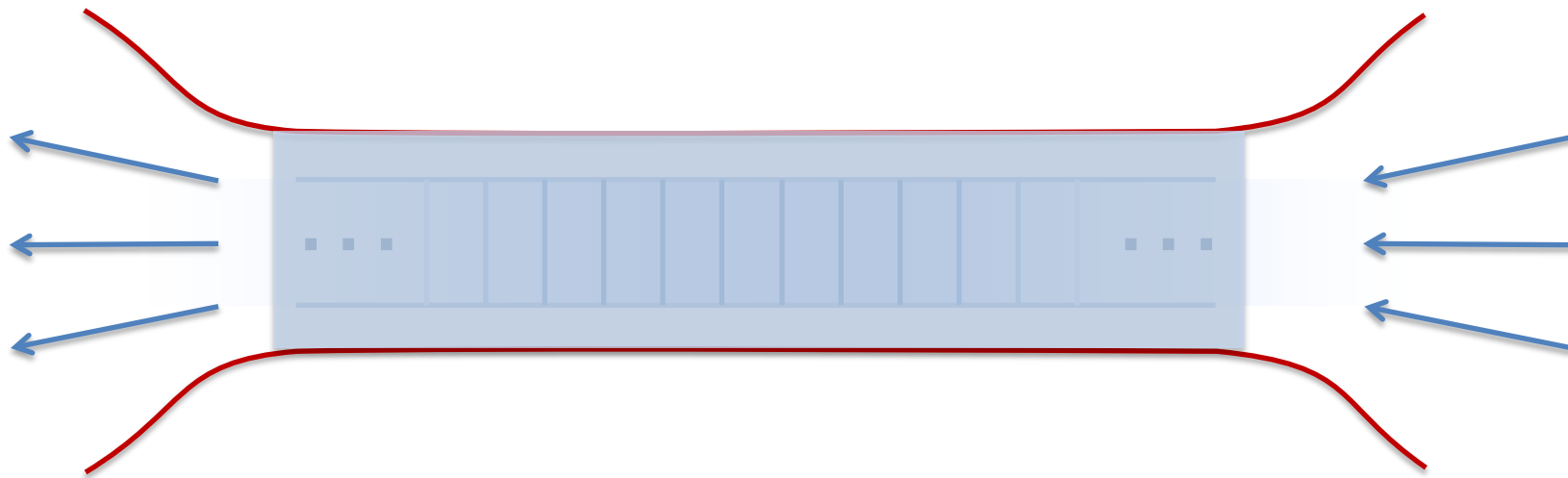


# MPMC Queue

SPSC  
SPMC  
MPSC  
MPMC

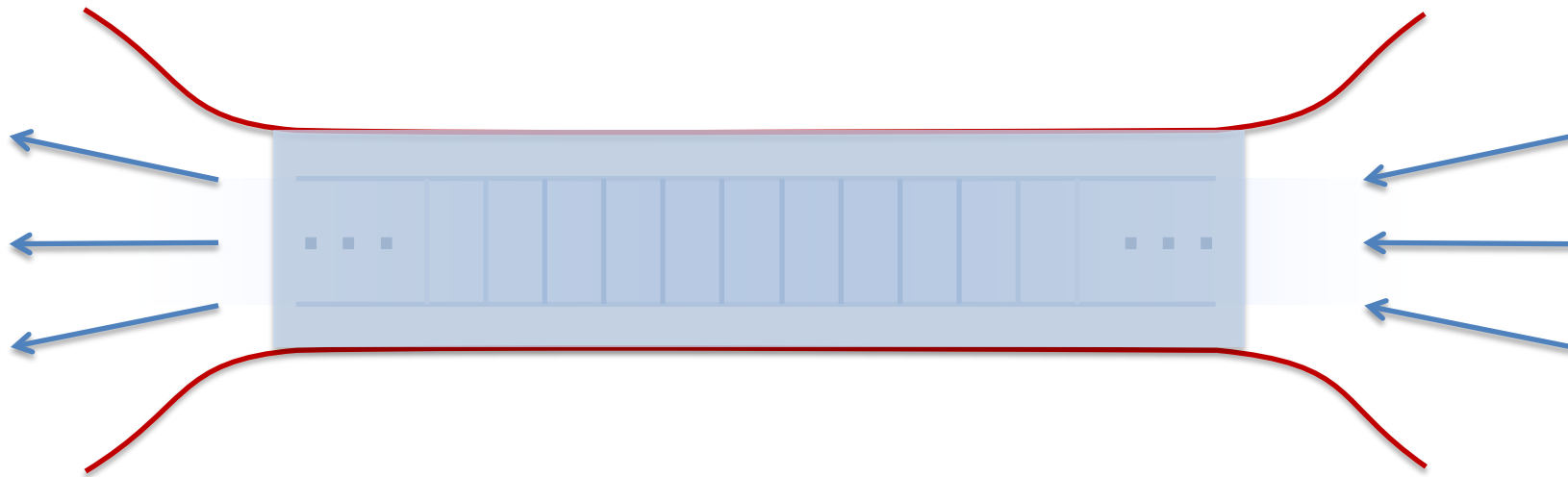


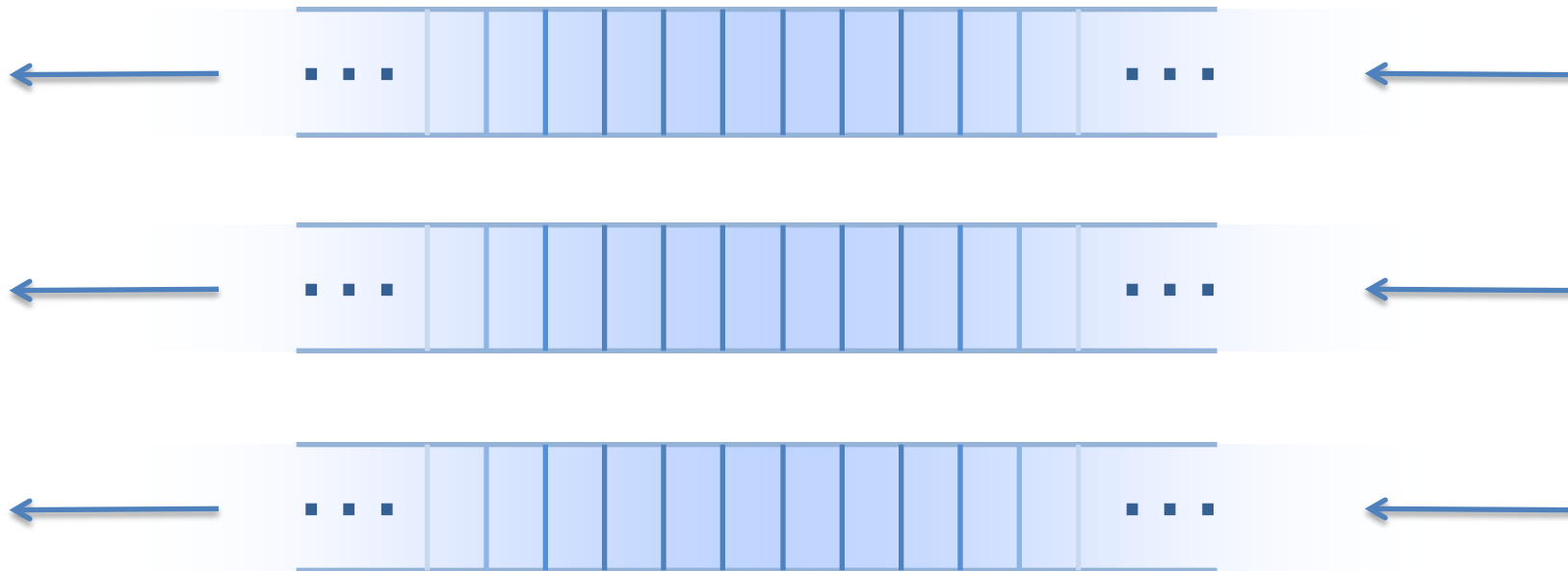
# MPMC Queue





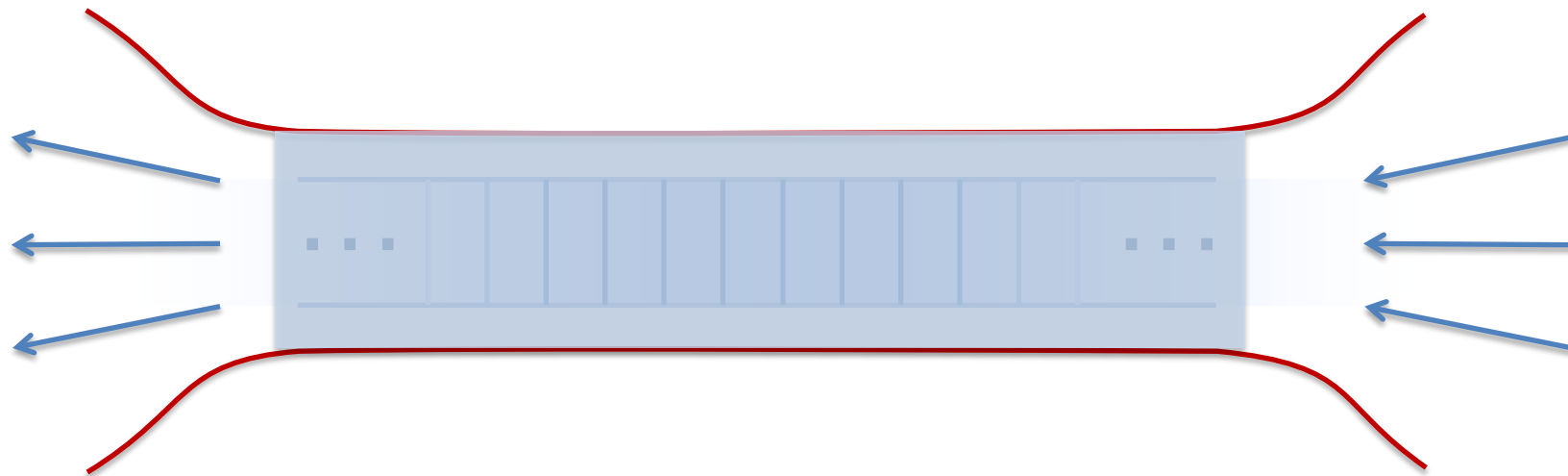
# Bottleneck

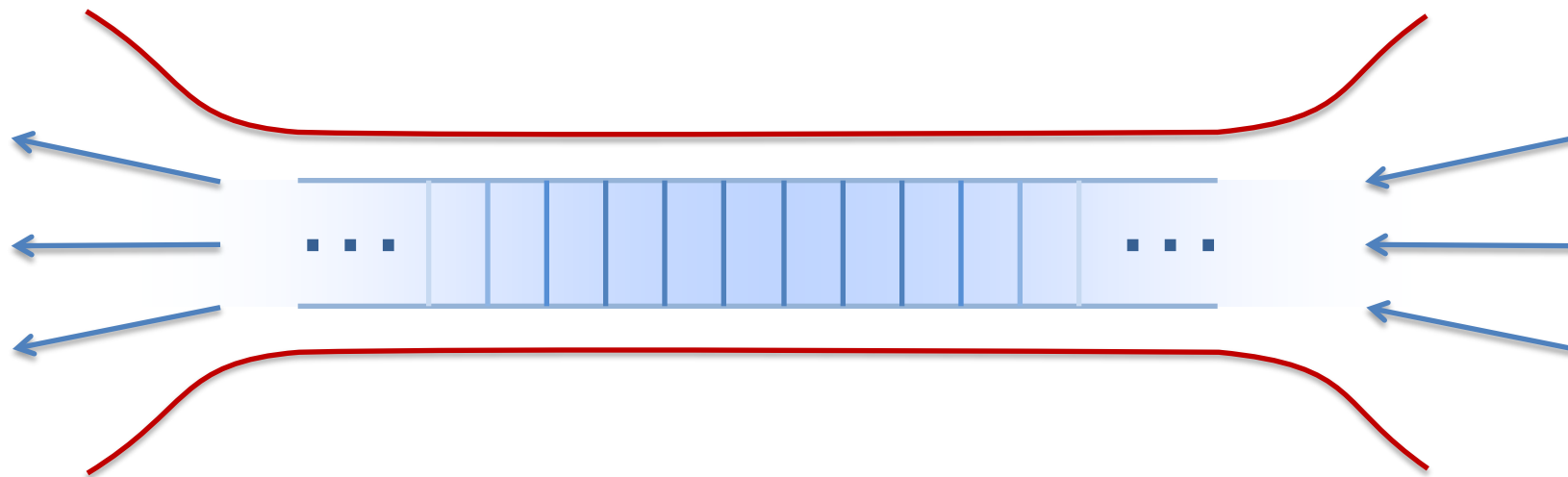


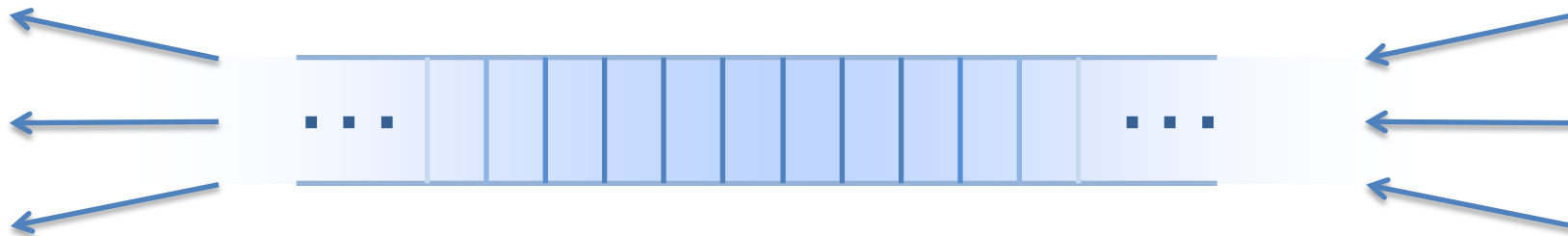


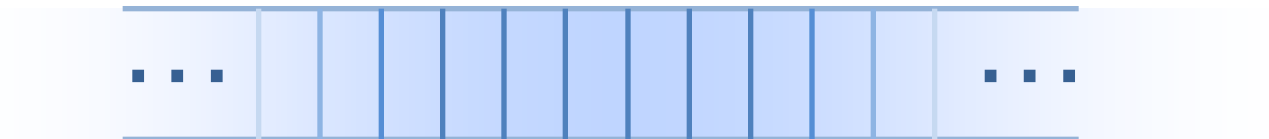


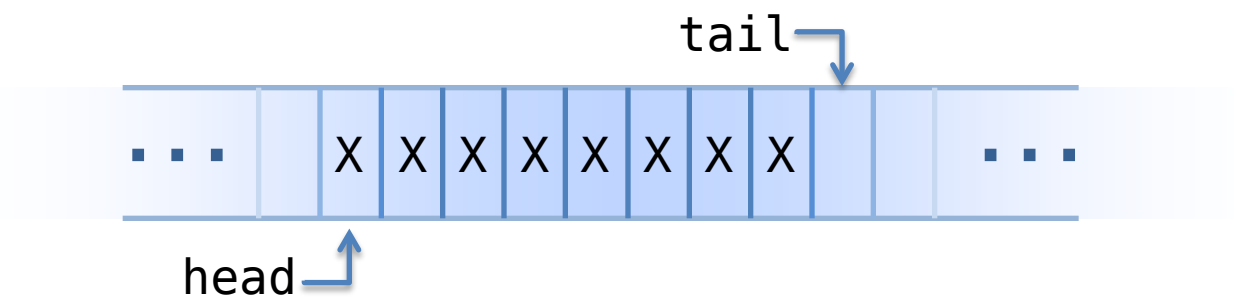






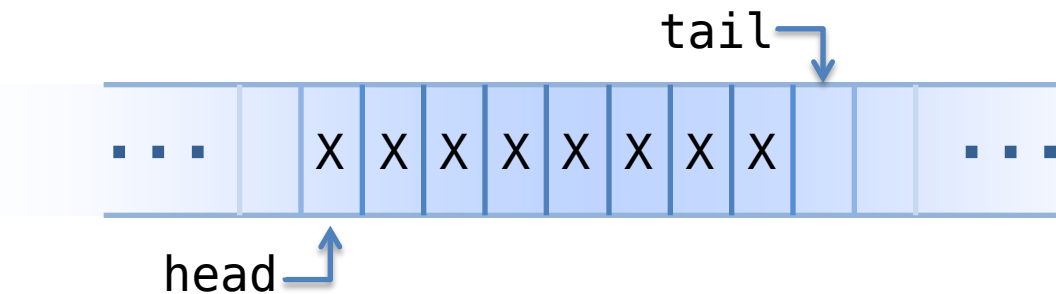






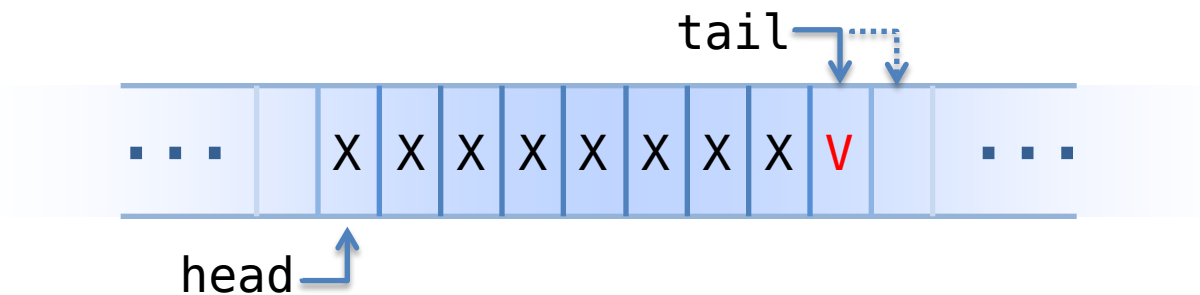


```
class Queue
{
    int buffer[some_size];
    size_t head;
    size_t tail;
};
```



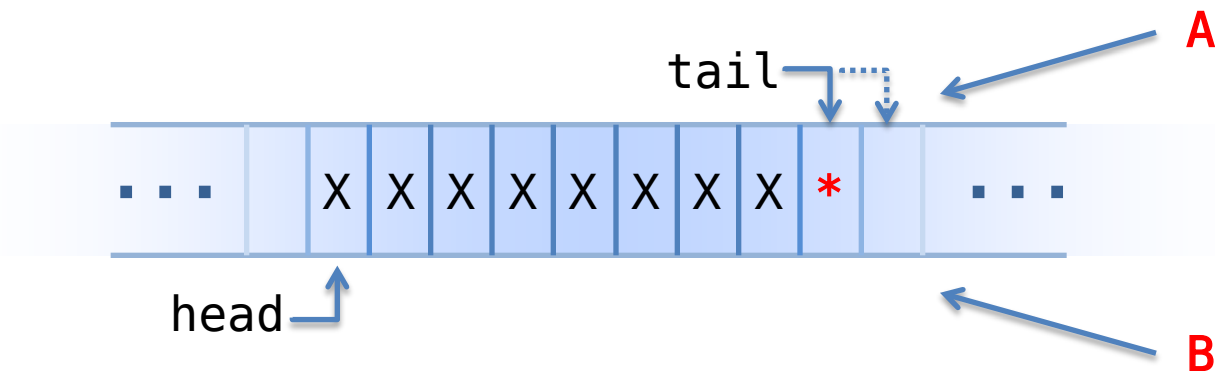


```
void push(int val)
{
    buffer[tail++] = val;
}
```





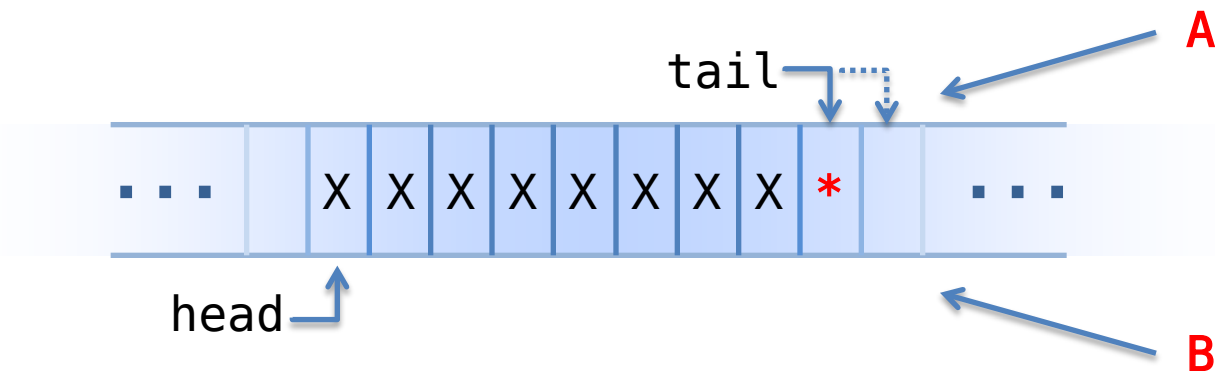
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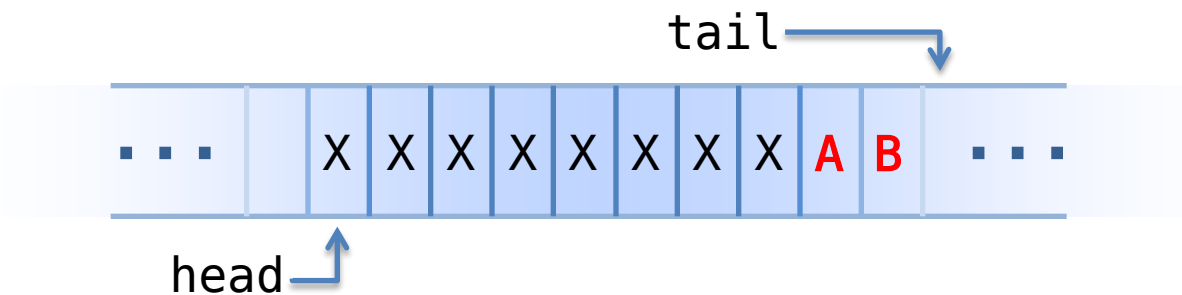


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void push(int val)
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    buffer[tail++] = val;
}
```

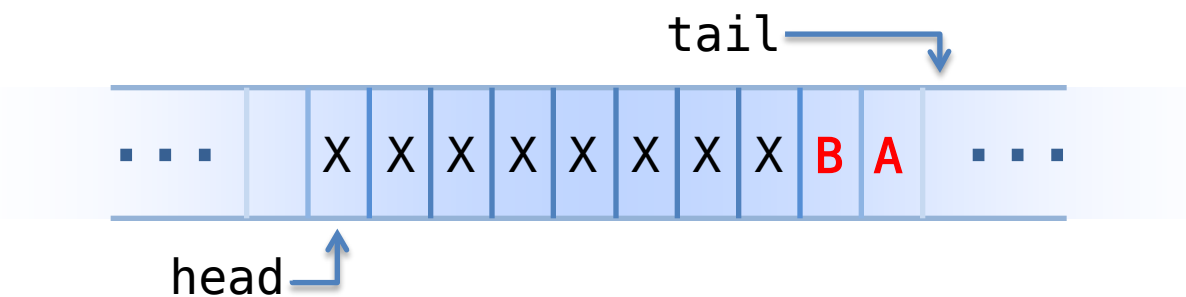
Possible Outcomes?



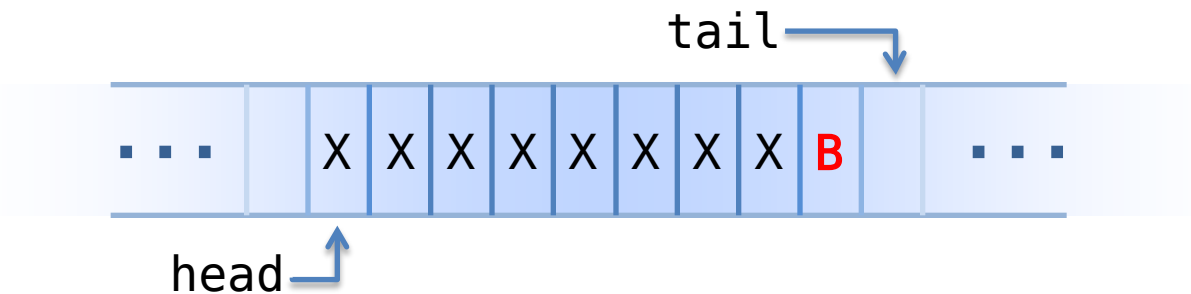
```
void push(int val)
{
    buffer[tail++] = val;
}
```



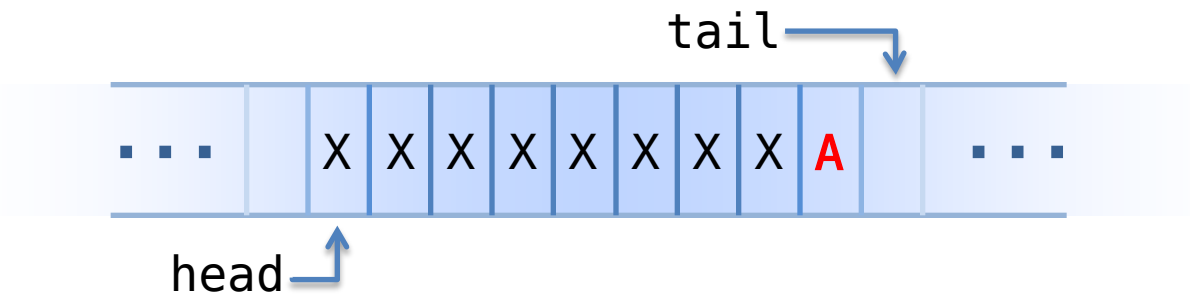
```
void push(int val)
{
    buffer[tail++] = val;
}
```



```
void push(int val)
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    buffer[tail++] = val;
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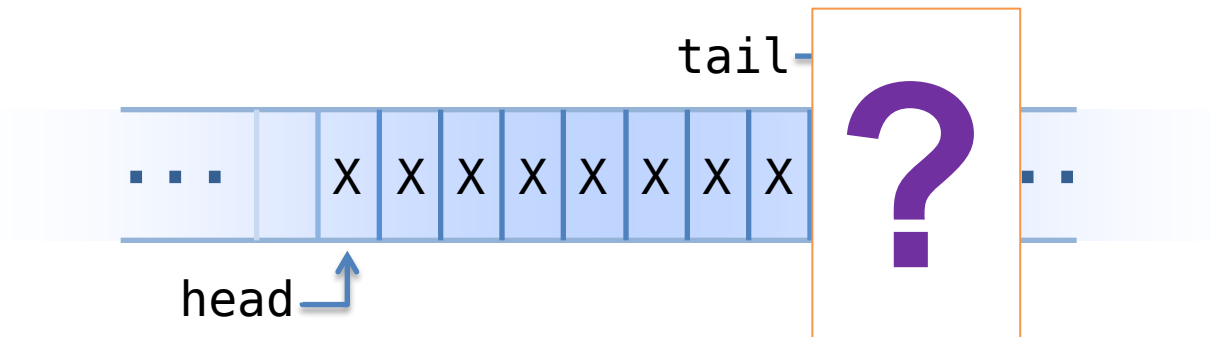


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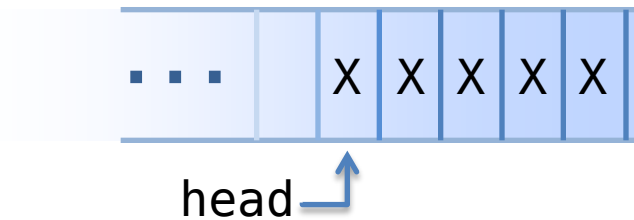


```
void push(int val)
{
    buffer[tail++] = val;
}
```





```
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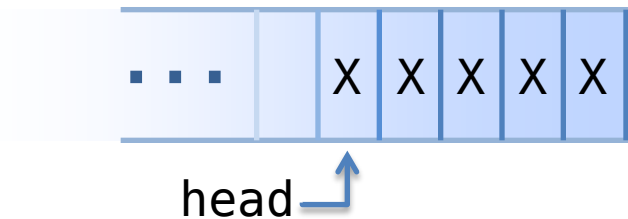


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void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[some_size];
    size_t head;
    size_t tail;
};
```

A

B



```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[some_size];
    size_t head;
    size_t tail;
};
```

A

B

UNDEFINED  
BEHAVIOUR



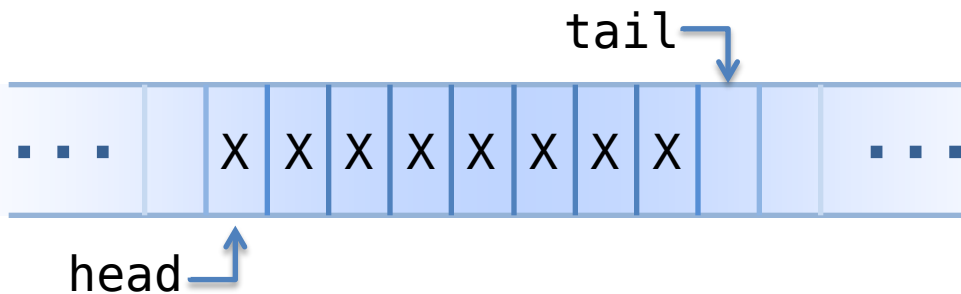


```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    atomic<int> buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

A

B



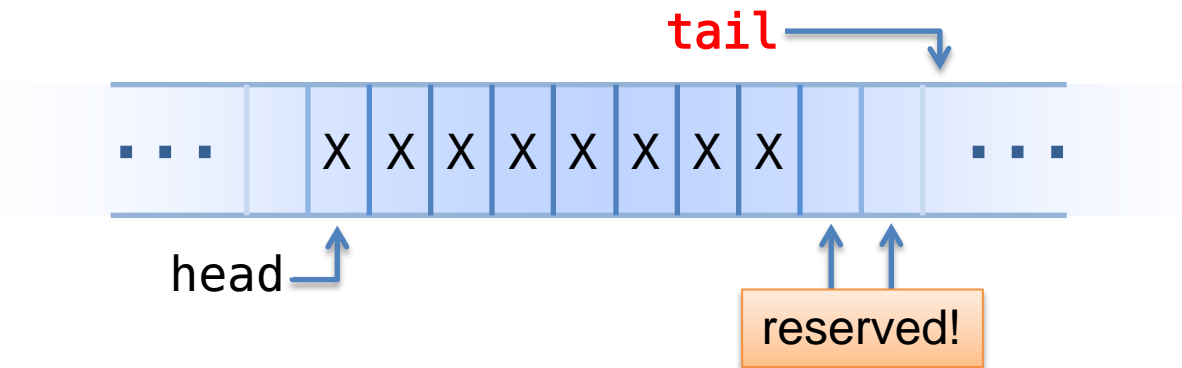


```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    atomic<int> buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

A

B



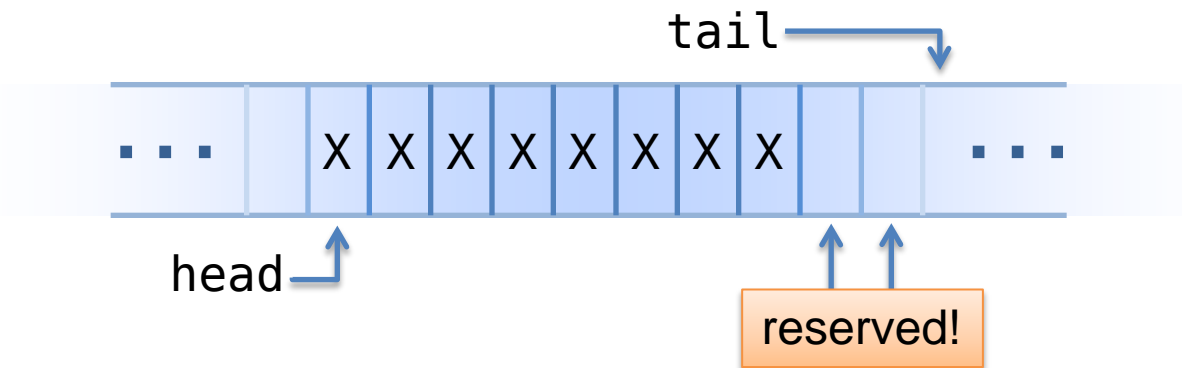


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class Queue
{
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A

B



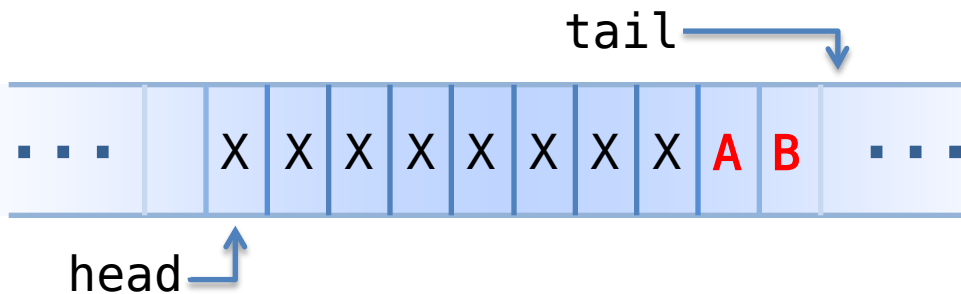


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void push(int val)
{
    buffer[tail++] = val;
}
```

```
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{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

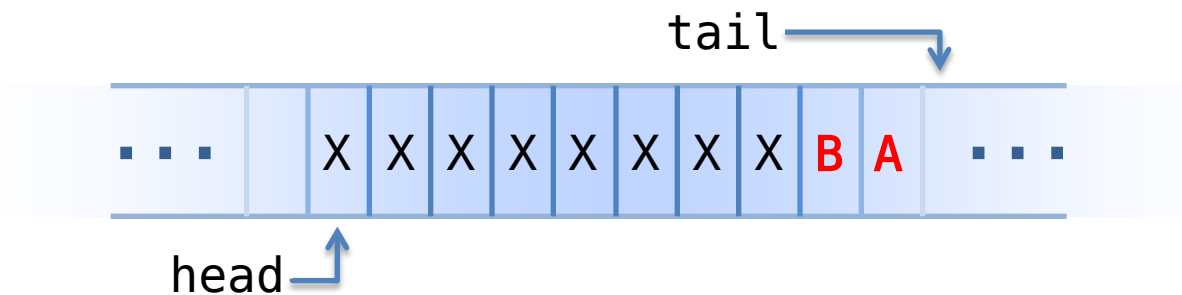
A

B



```
void push(int val)
{
    buffer[tail++] = val;
}
```

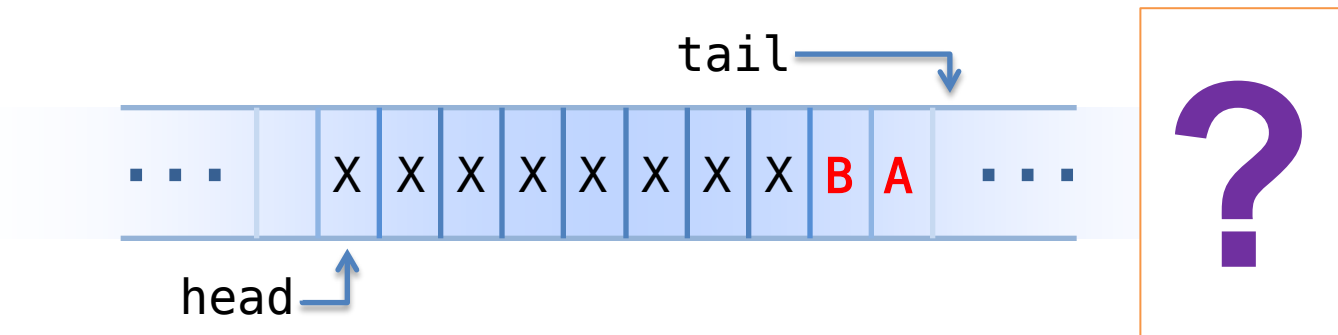
```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```





```
void push(int val)
{
    buffer[tail++] = val;
}
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class Queue
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    int buffer[SZ];
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};
```



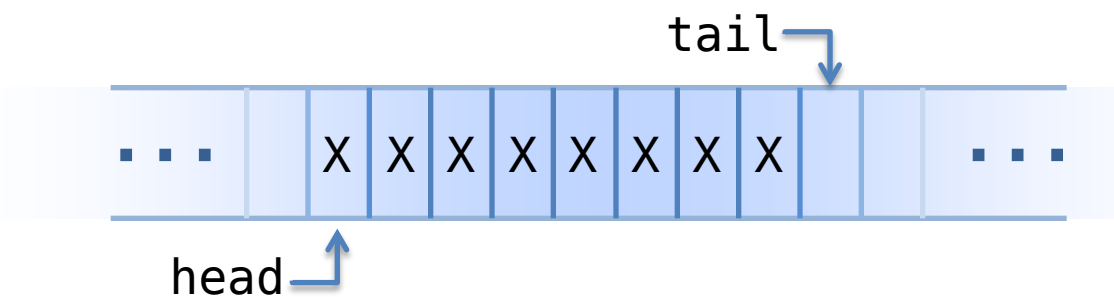


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    buffer[tail++] = val;
}
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```
class Queue
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    int buffer[SZ];
    atomic<size_t> head;
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};
```

A

B



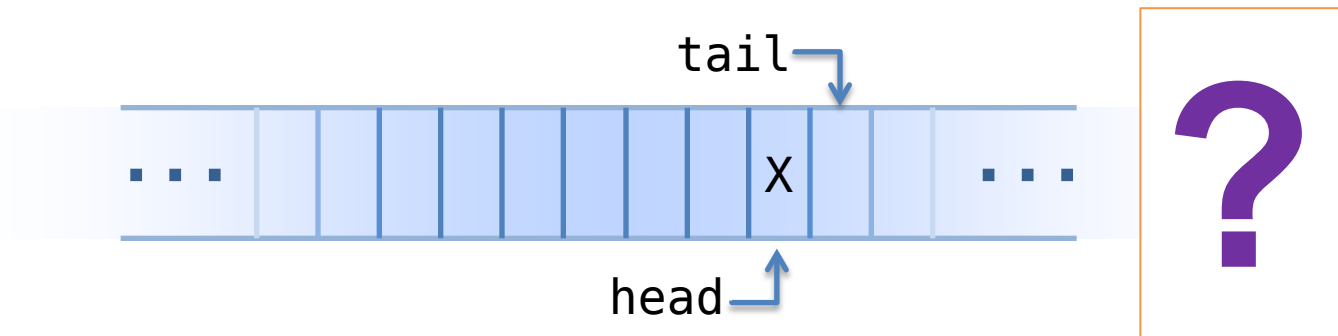


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    buffer[tail++] = val;
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{
    int buffer[SZ];
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A

B





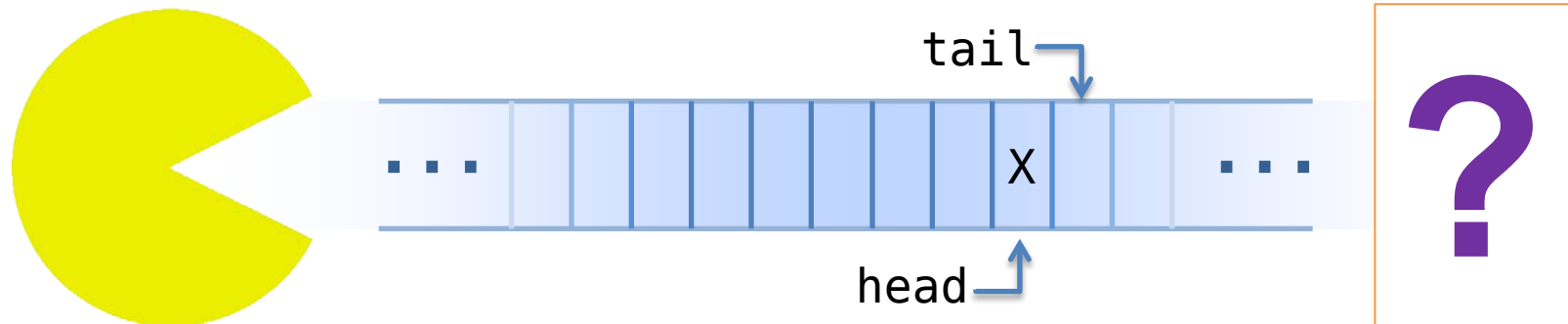
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    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

**X...**

**A**

**B**





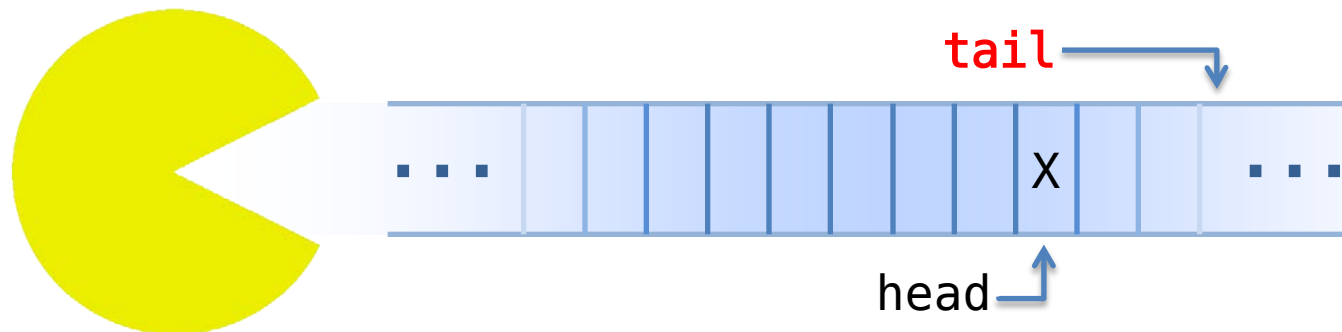
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    buffer[tail++] = val;
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```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
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};
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**X...**

**A**

**B**



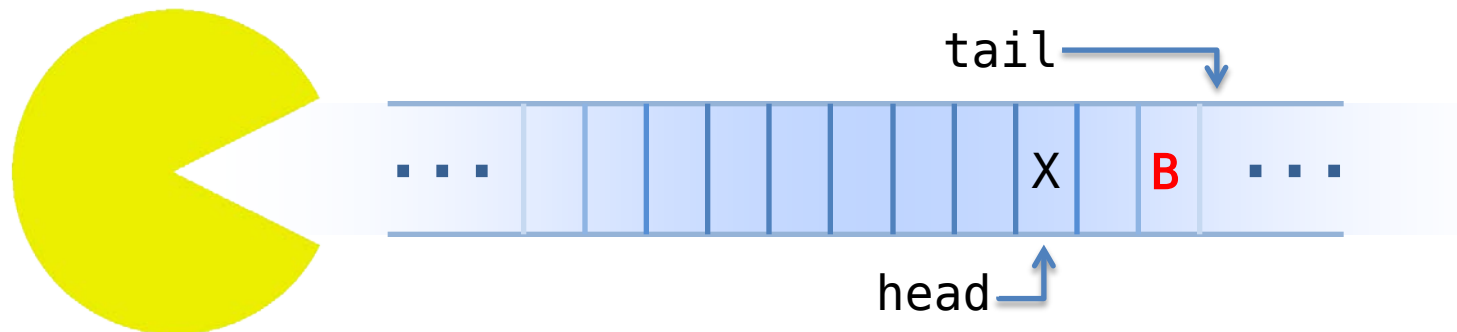


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void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

A B

X...

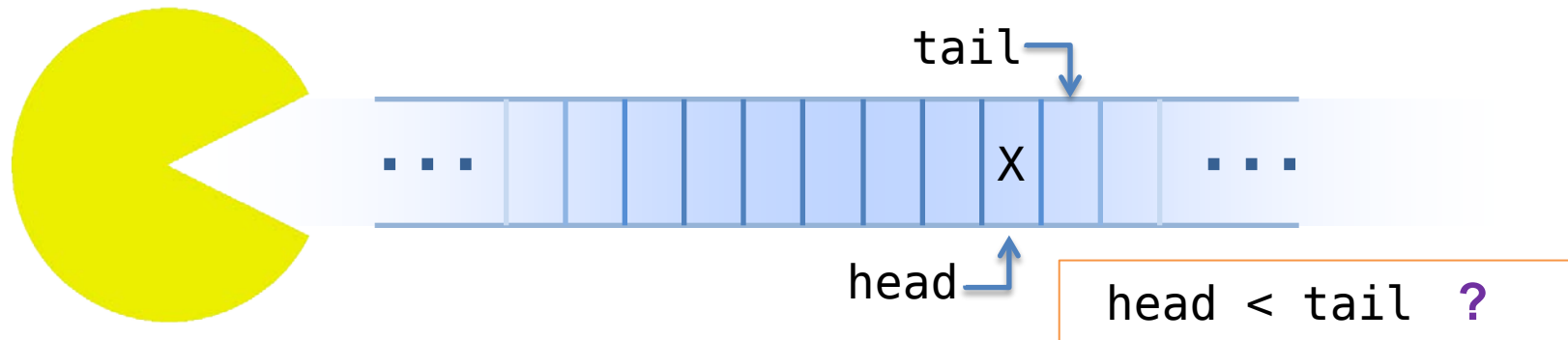




```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
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};
```

X...

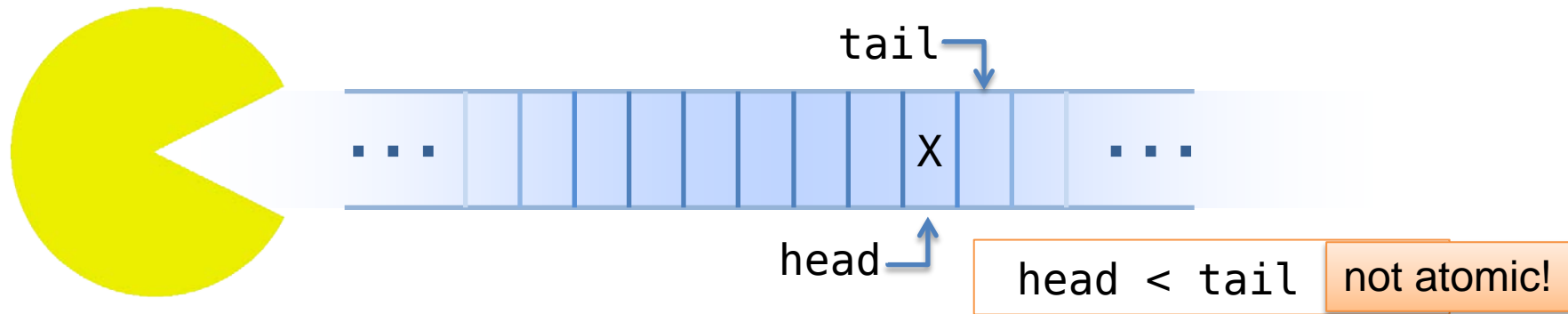




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void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

X...



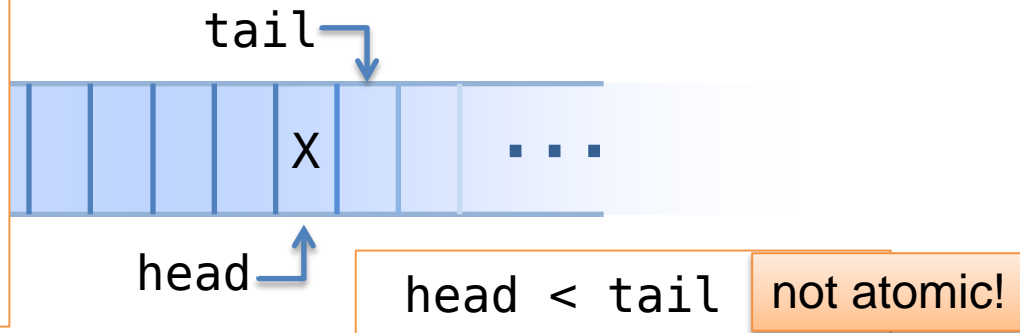


```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
if (atomic_less(head, tail))
{
    do_something();
};
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

X...



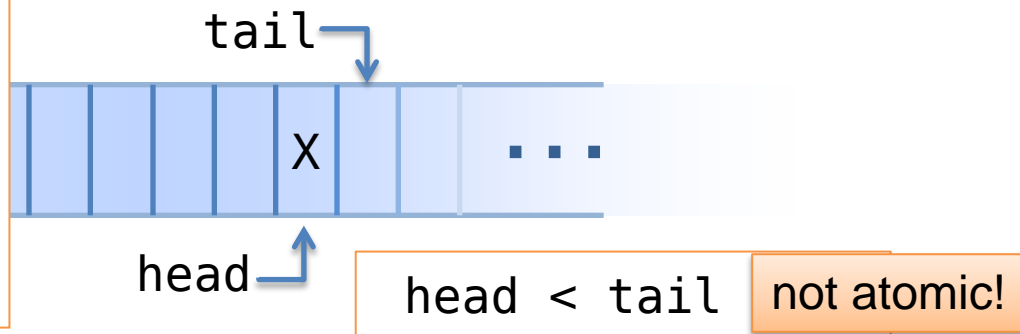


```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
if (atomic_less(head, tail))
{
    THEN
    do_something();
};
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

X...





```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
```

```
{
    int buffer[BSZ];
    atomic_int tail;
    atomic_int head;
};
```

X...

```
if (atomic_less(head, tail))
{
    THEN
    do_something();
};
```



head < tail

not atomic!



```
void pu  
{  
    buf  
}
```

```
if (atom  
{  
    THEN  
    do_s  
};
```

# THEN

is a 4-letter word

atomic!

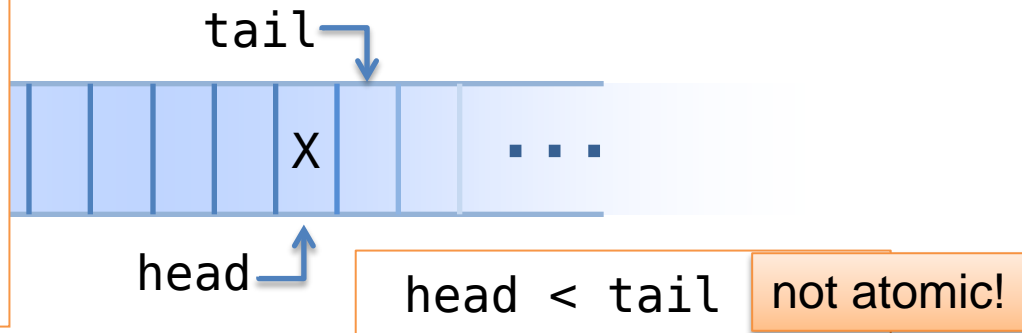
X...

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void push(int val)
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    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
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};
```

X...

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if (atomic_less(head, tail))
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    THEN
    do_something();
};
```

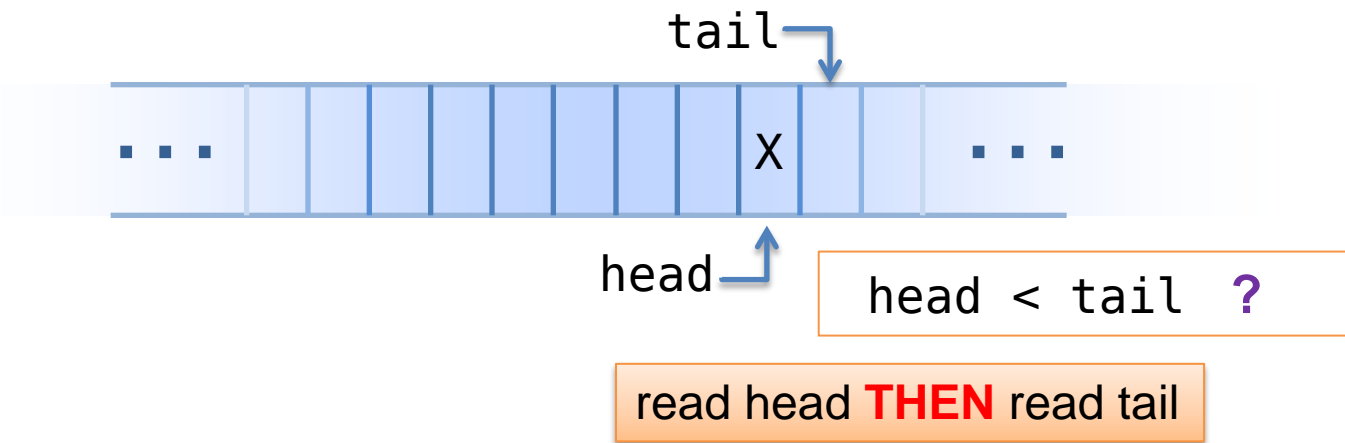




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X...

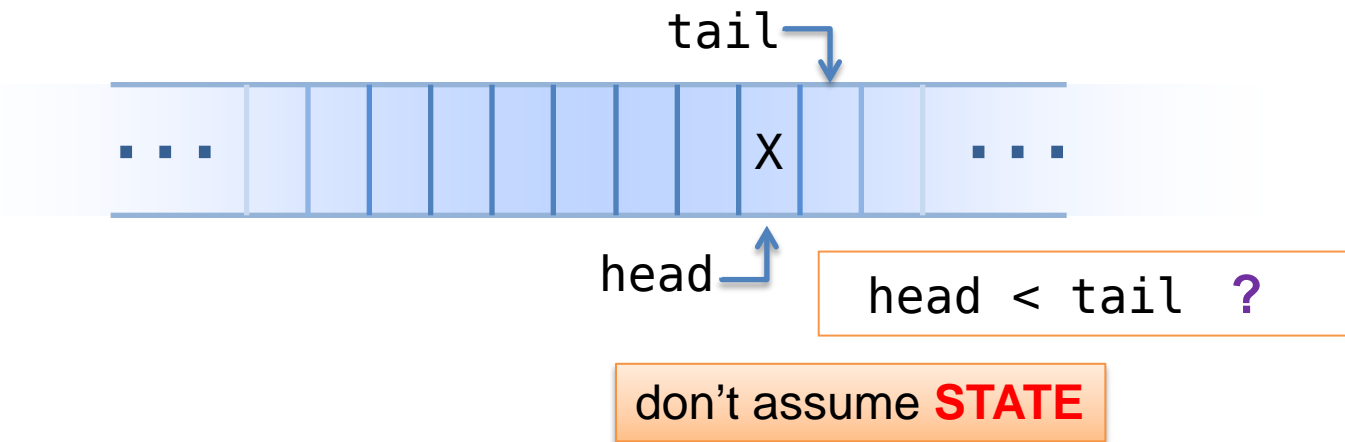




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    buffer[tail++] = val;
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class Queue
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    int buffer[SZ];
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};
```

X...

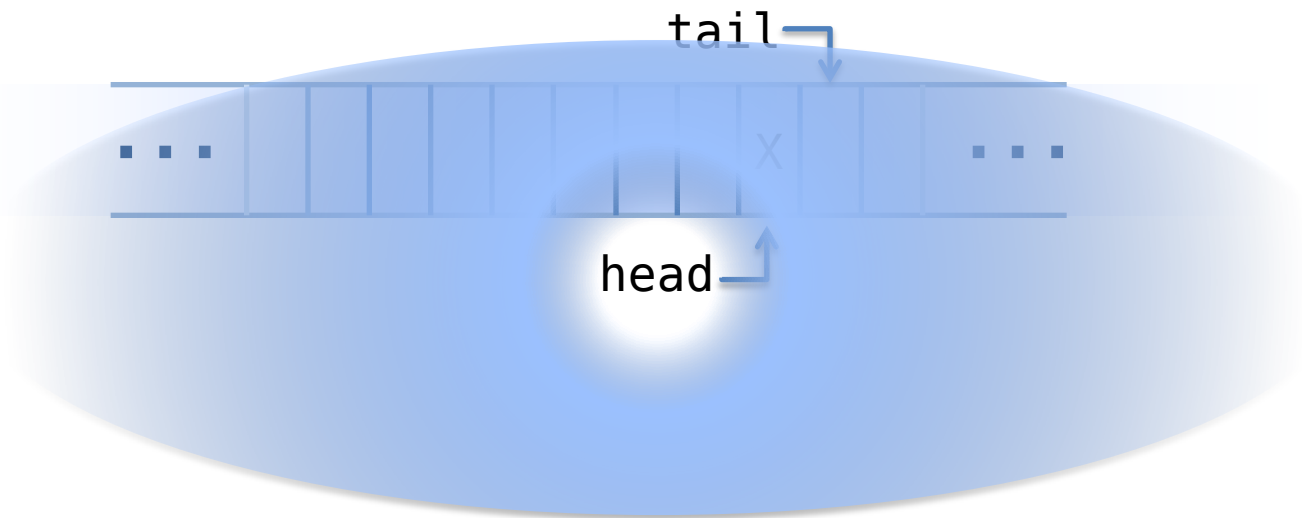




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};
```

X...

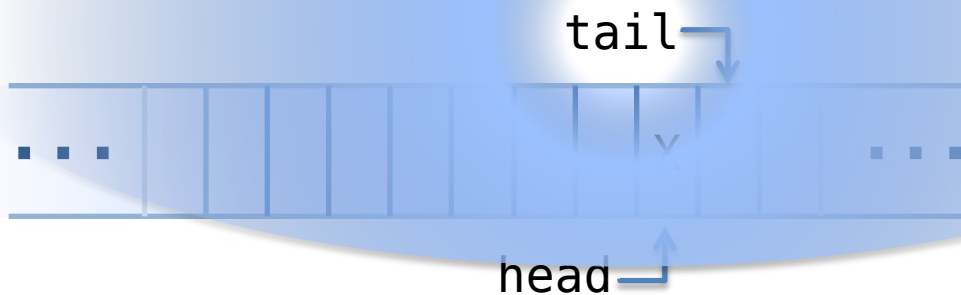




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};
```

X...

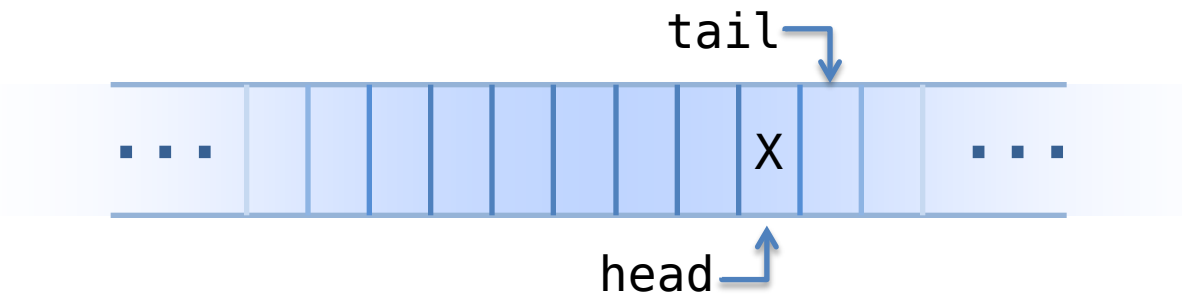




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};
```

X...



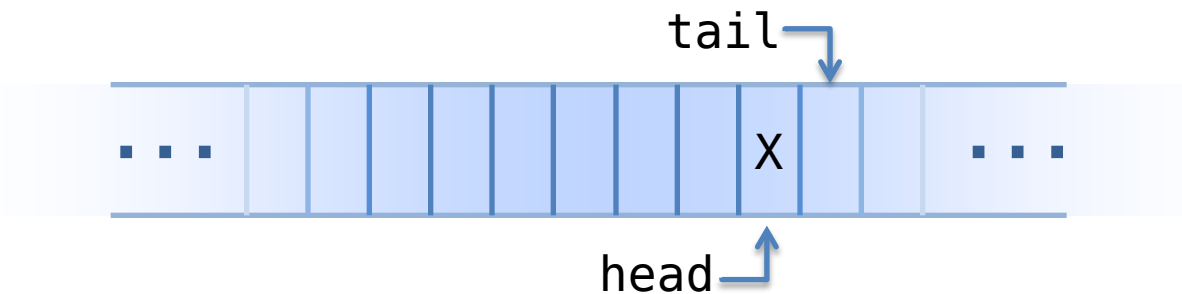
don't assume **STATE**



```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

X...



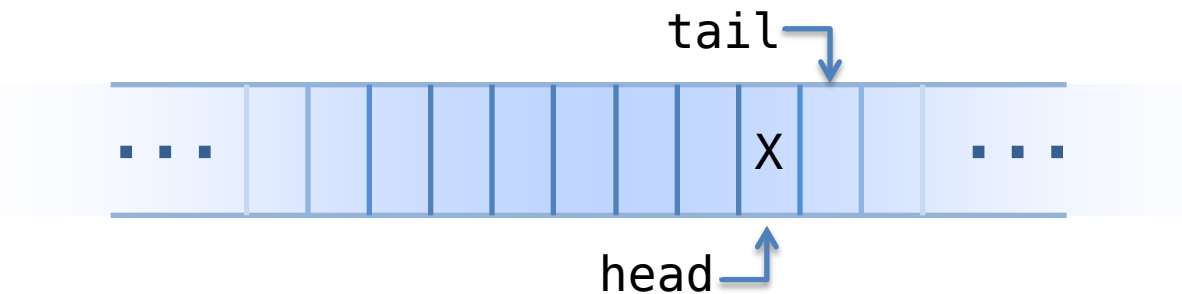
every **STATE** is a good **STATE**



```
void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
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};
```

X...




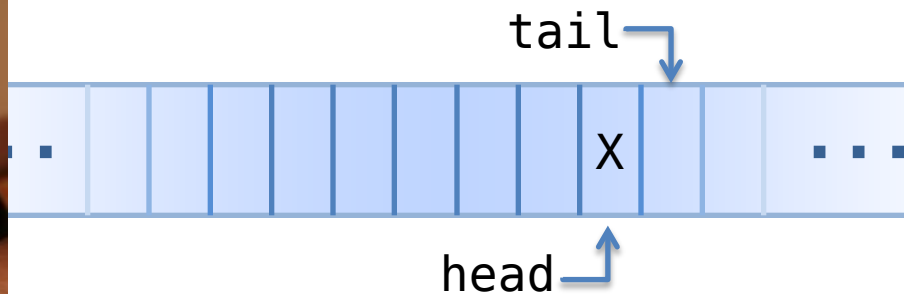
no “temporary suspension” of invariants



```
void push(int val)
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    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
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};
```

 X...



no “temporary suspension” of invariants



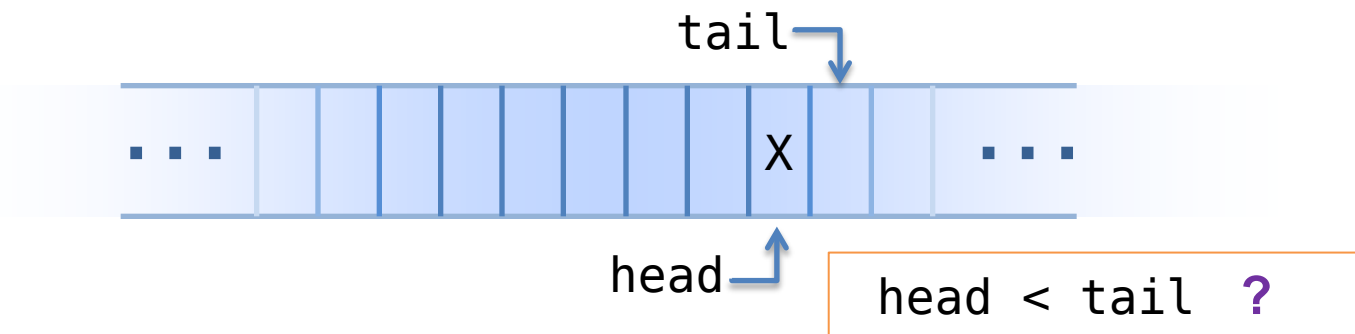
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class Queue
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};
```

**X...**

**A**

**B**





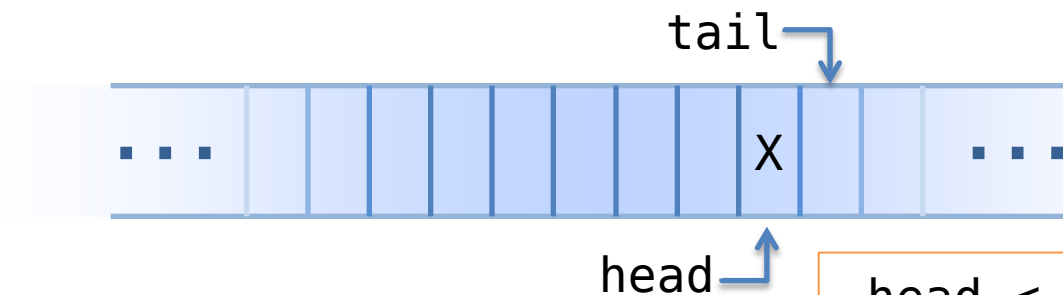
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{
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}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

**X...**

**A**

**B**



head < tail ?

*ensure tail is always increasing*



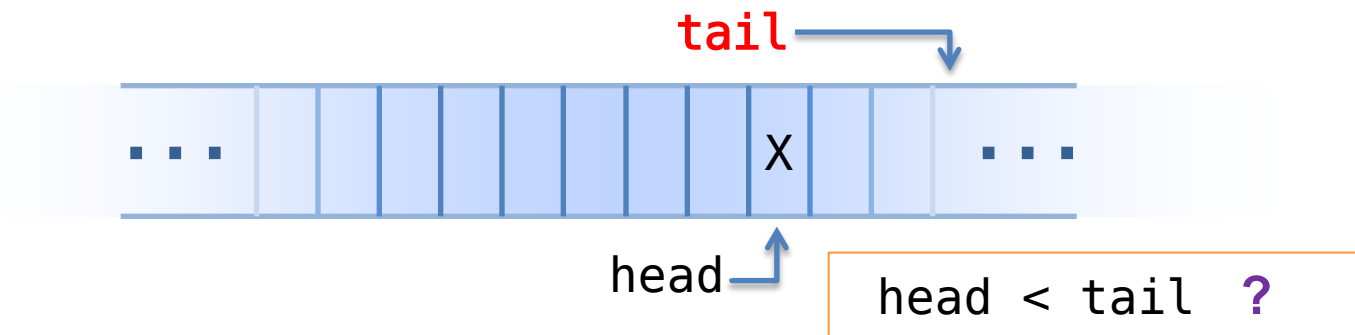
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class Queue
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};
```

**X...**

**A**

**B**





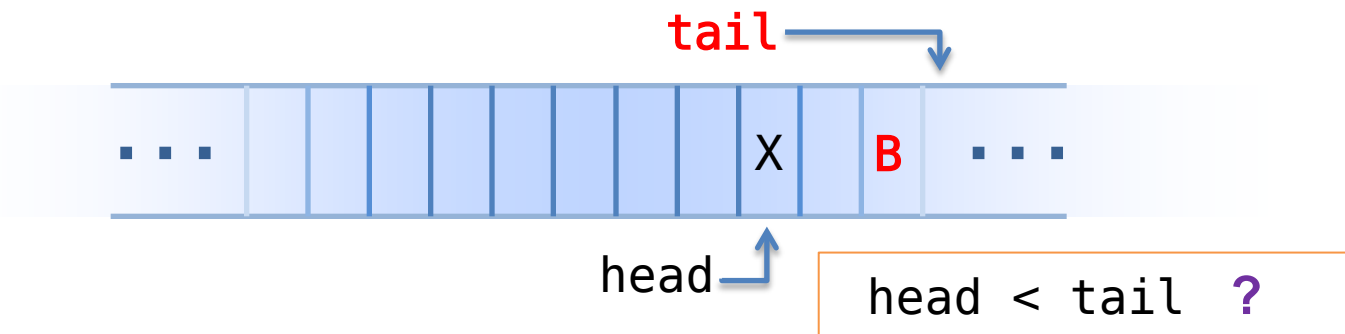
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void push(int val)
{
    buffer[tail++] = val;
}
```

```
class Queue
{
    int buffer[SZ];
    atomic<size_t> head;
    atomic<size_t> tail;
};
```

**X...**

**A**

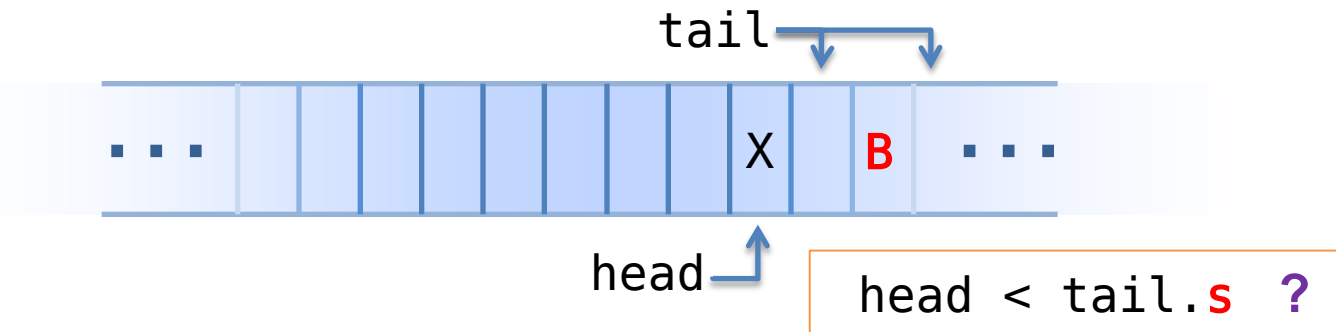
**B**





```
void push(int val)
{
    size_t tmp = tail.e++;
    buffer[tmp] = val;
    if(tmp == tail.s) {
        tail.s = ???
    }
}
```

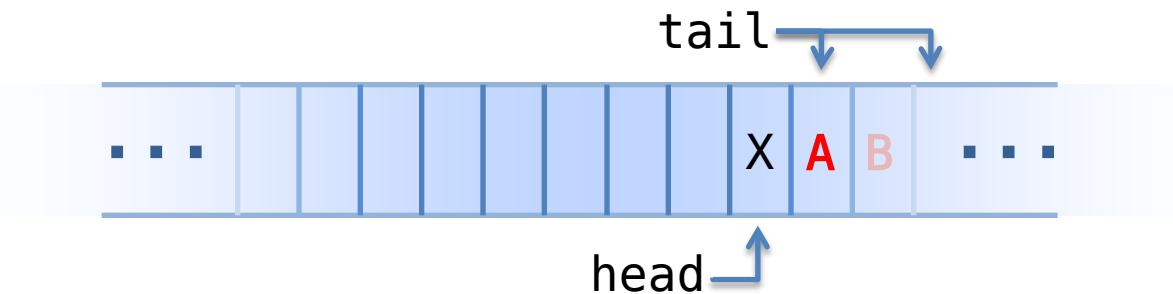
```
class Queue {
    int buffer[SZ];
    atomic<size_t> head;
    struct {
        atomic<size_t> s;
        atomic<size_t> e;
    } tail;
};
```





```
void push(int val)
{
    size_t tmp = tail.e++;
    buffer[tmp] = val;
    if(tmp == tail.s) {
        tail.s = ???
    }
}
```

```
class Queue {
    int buffer[SZ];
    atomic<size_t> head;
    struct {
        atomic<size_t> s;
        atomic<size_t> e;
    } tail;
};
```



```
void push(int val)
{
    size_t tmp = tail.e++;
    buffer[tmp] = val;
    if(tmp == tail.s) {
        tail.s = ???
    }
}
```

```
class Queue {
    int buffer[SZ];
    atomic<size_t> head;
    struct {
        atomic<size_t> s;
        atomic<size_t> e;
    } tail;
};
```

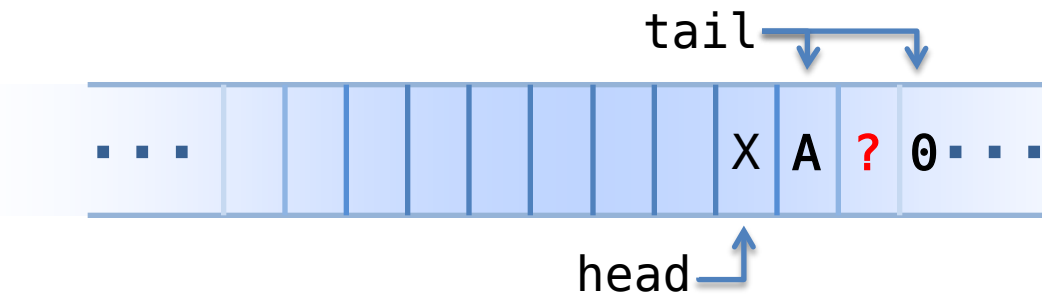
Compromise...

Queue of int -> Queue of int != 0



```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    if(tmp == tail.s) {  
        do  
            tail.s++;  
        while (buffer[tail.s]);  
    }  
}
```

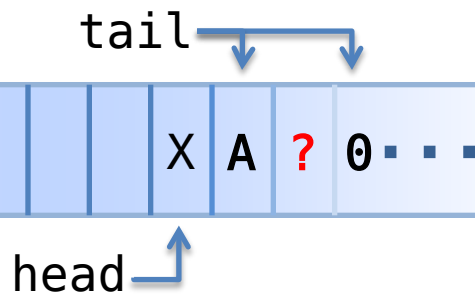
```
class Queue {  
    int buffer[SZ];  
    atomic<size_t> head;  
    struct { atomic<size_t> s;  
            atomic<size_t> e;  
    } tail;  
};
```

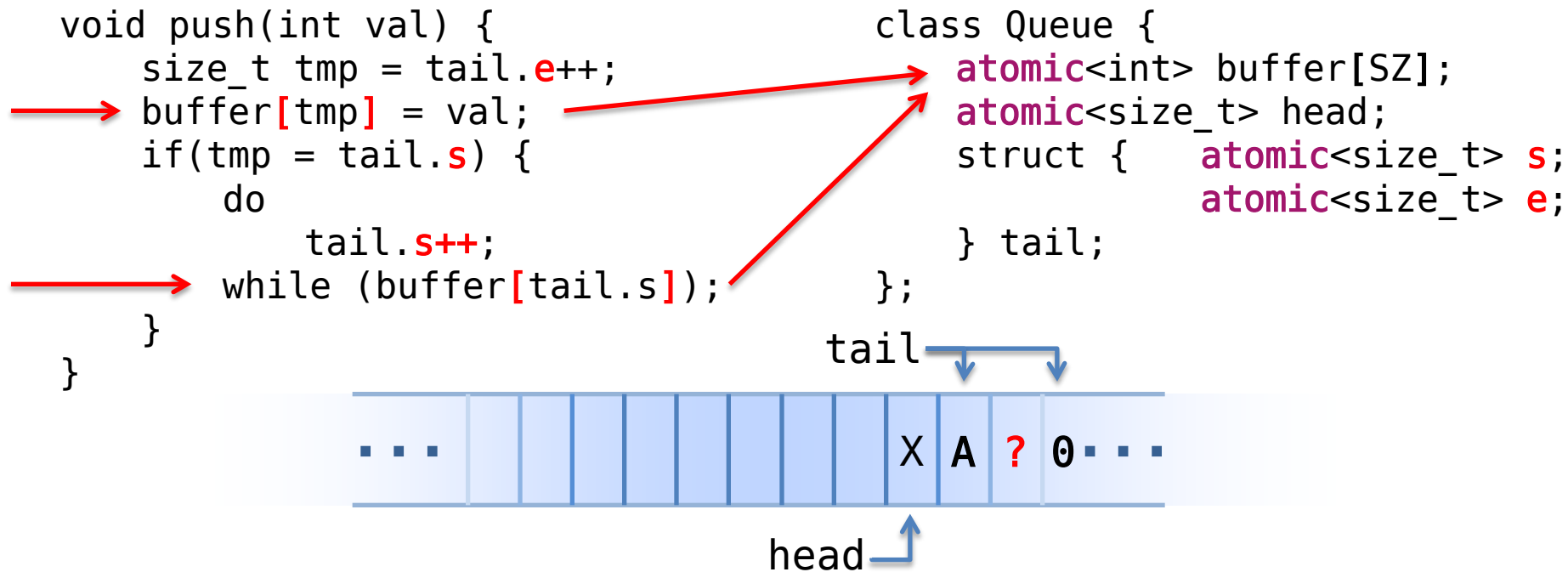




```
void push(int val) {  
    size_t tmp = tail.e++;  
    → buffer[tmp] = val;  
    if(tmp == tail.s) {  
        do  
            tail.s++;  
        → while (buffer[tail.s]);  
    }  
}
```

```
class Queue {  
    int buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```







```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    B → if(tmp == tail.s) {  
        do  
            A → tail.s++;  
            while (buffer[tail.s]);  
    }  
}
```

THEN

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```

tail



head



```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    B → if(tmp == tail.s) {  
        do  
            A → CAS(tail.s, tmp, tmp+1);  
            while (buffer[++tmp]);  
    }  
}
```

THEN

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```

tail

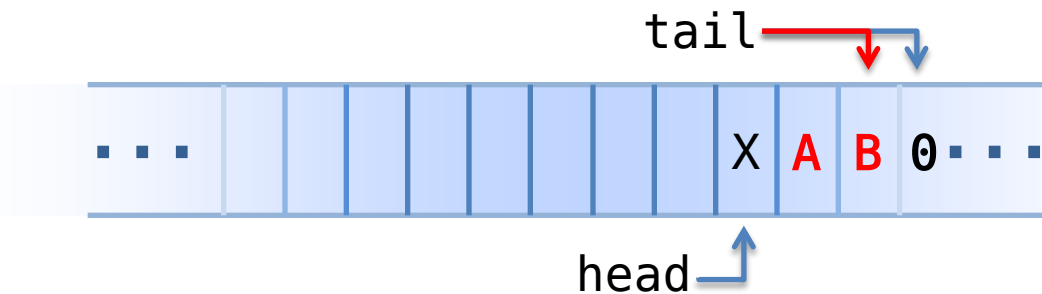


head



```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

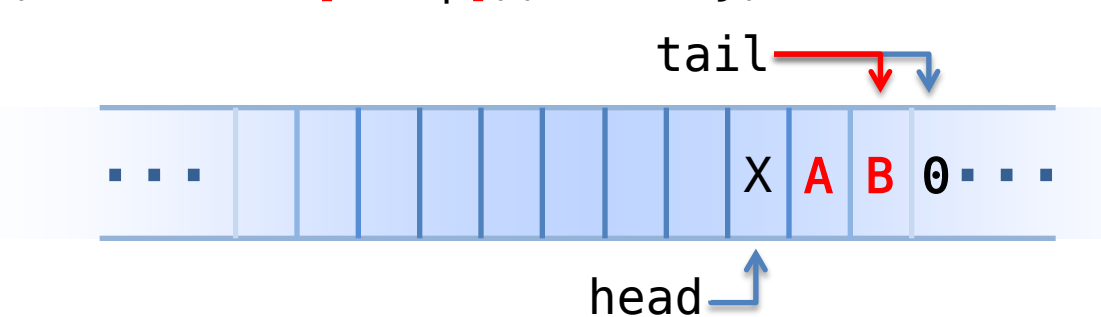
```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```





```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

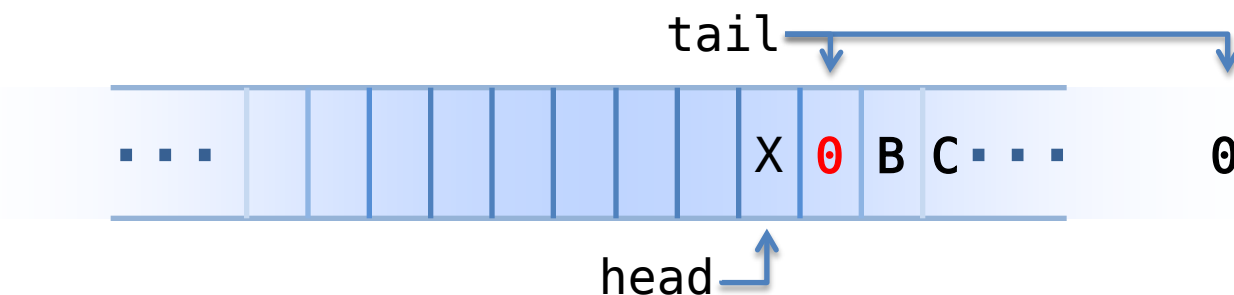
```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```





```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

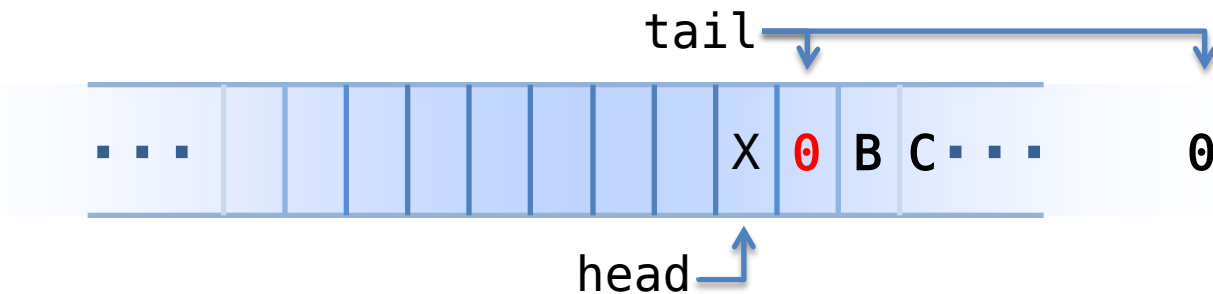
```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```





```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```

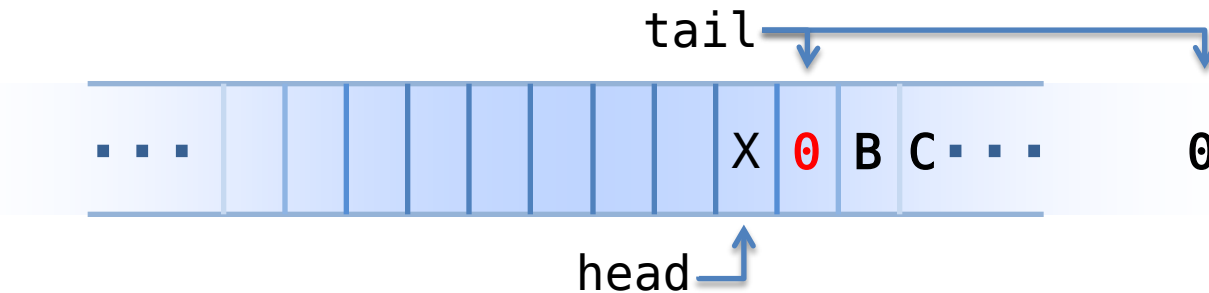


!= lock-free



```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```

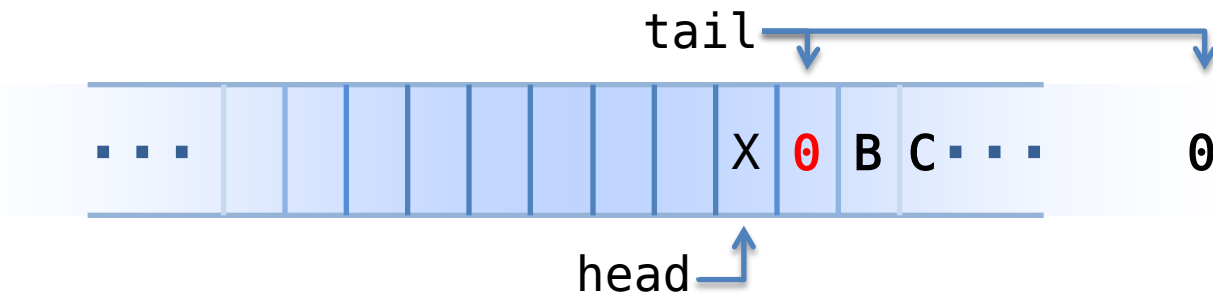


An algorithm is **lock-free** if at all times **at least one thread** is guaranteed to be **making progress**.



```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```



If I suspended a certain thread at the worst time, for a long time or forever,  
do bad things happen?  
Yes -> not lockfree.

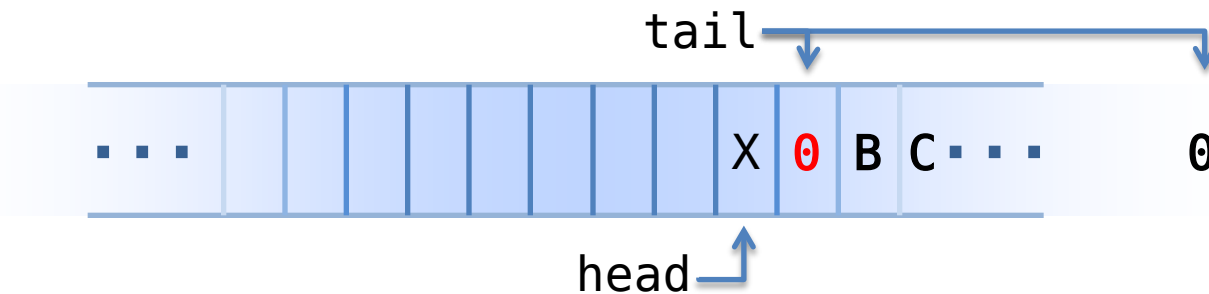




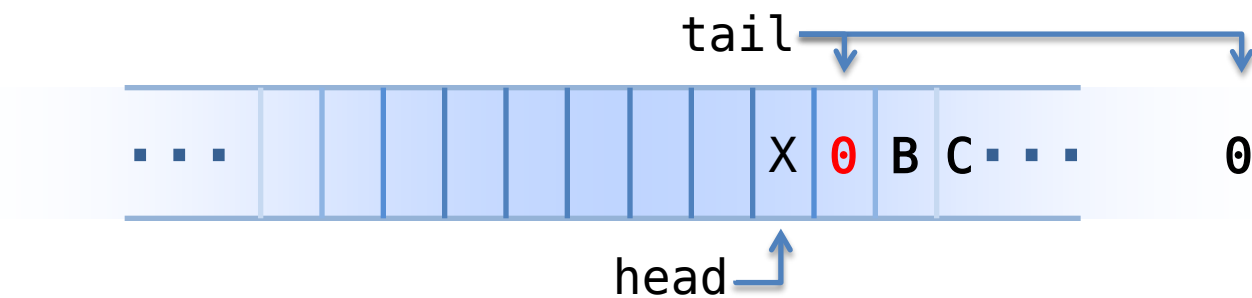


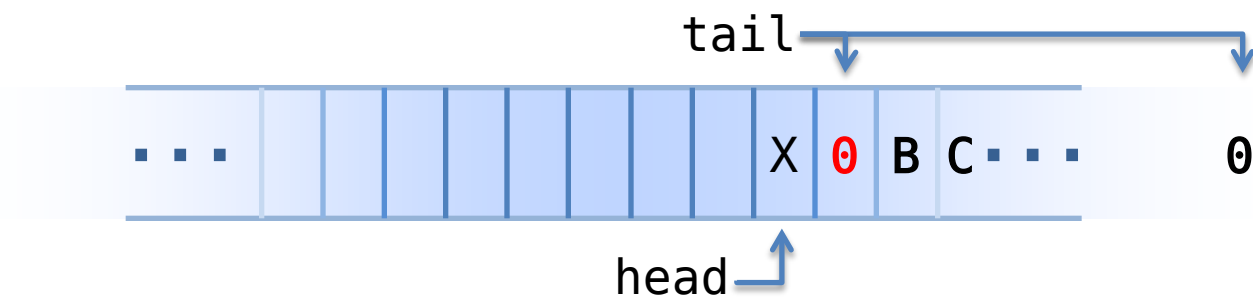
```
void push(int val) {  
    size_t tmp = tail.e++;  
    buffer[tmp] = val;  
    bool r;  
    do  
        r = CAS(tail.s, tmp, tmp+1);  
    while (r && buffer[++tmp]);  
}
```

```
class Queue {  
    atomic<int> buffer[SZ];  
    atomic<size_t> head;  
    struct {  
        atomic<size_t> s;  
        atomic<size_t> e;  
    } tail;  
};
```

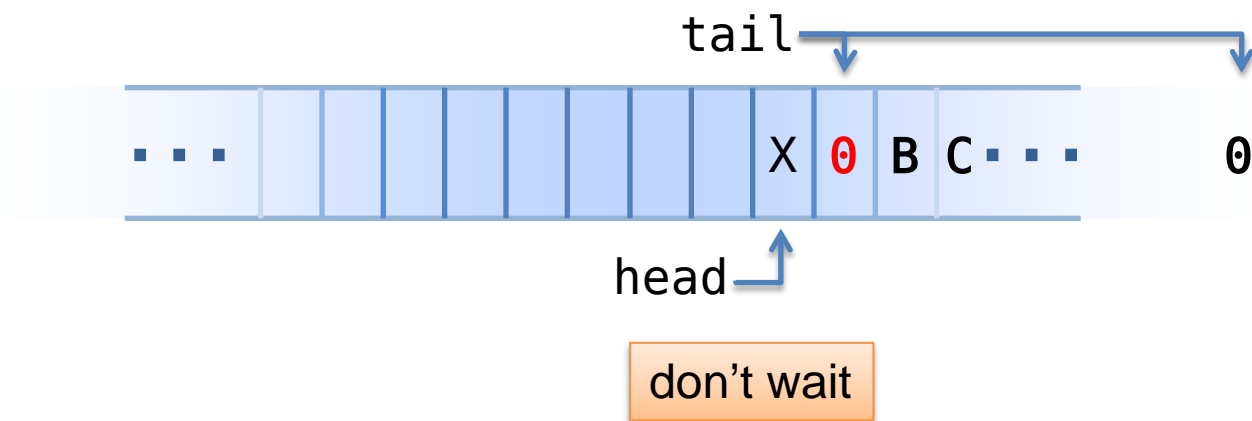


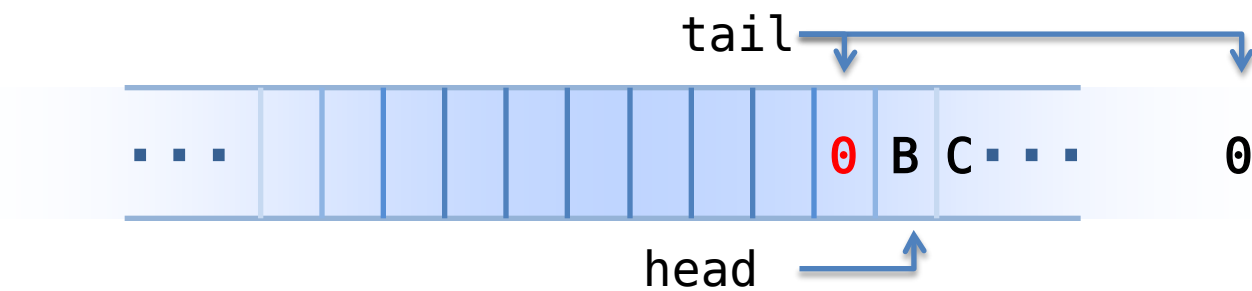
An algorithm is **lock-free** if at all times **at least one thread** is guaranteed to be **making progress**.



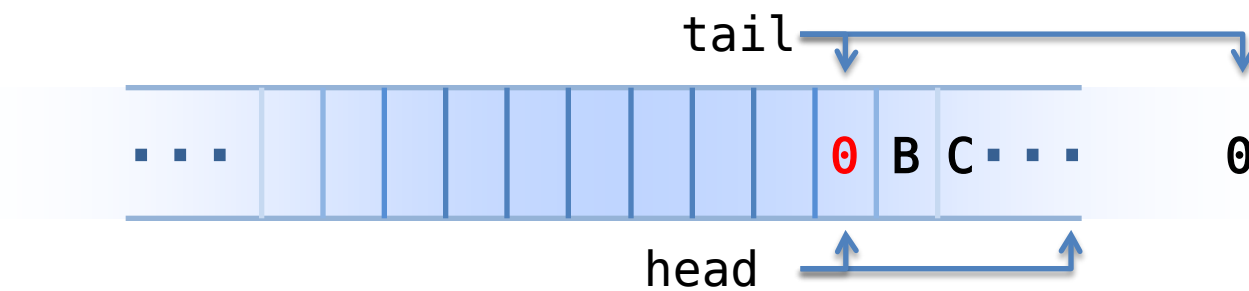


don't want to wait

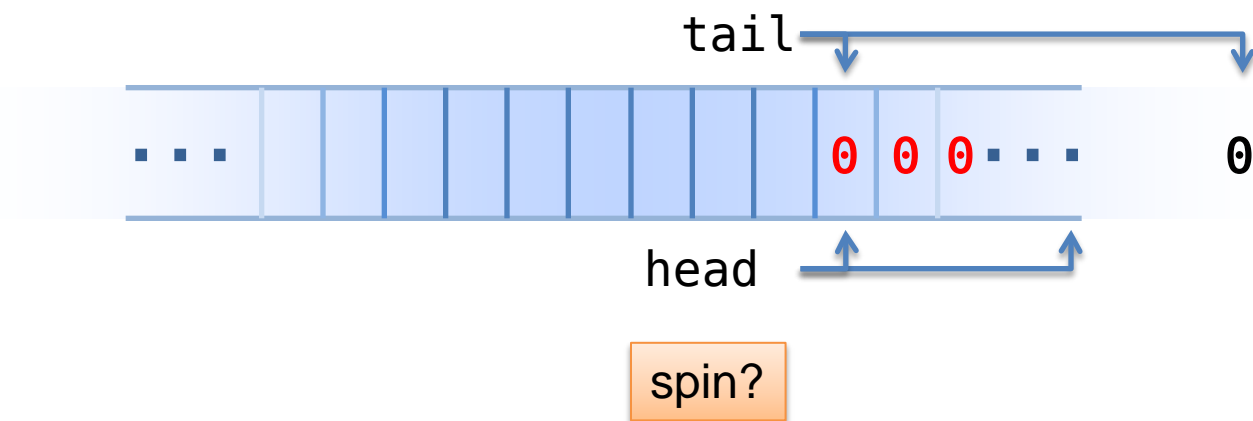


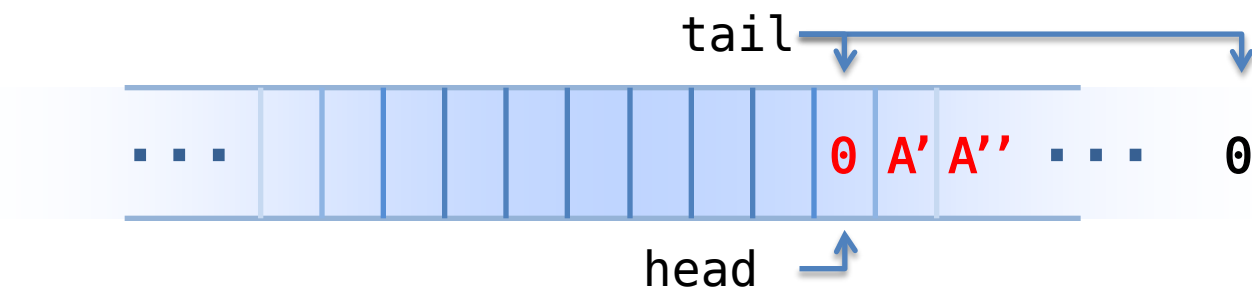


don't wait! (?)

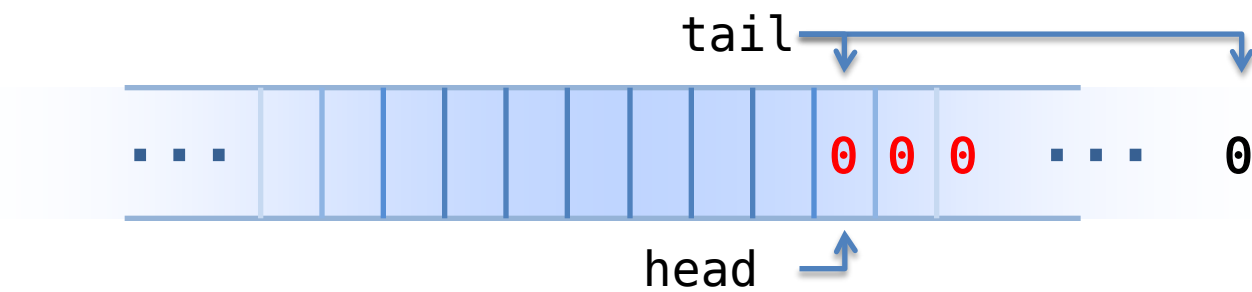


come back later (when?)

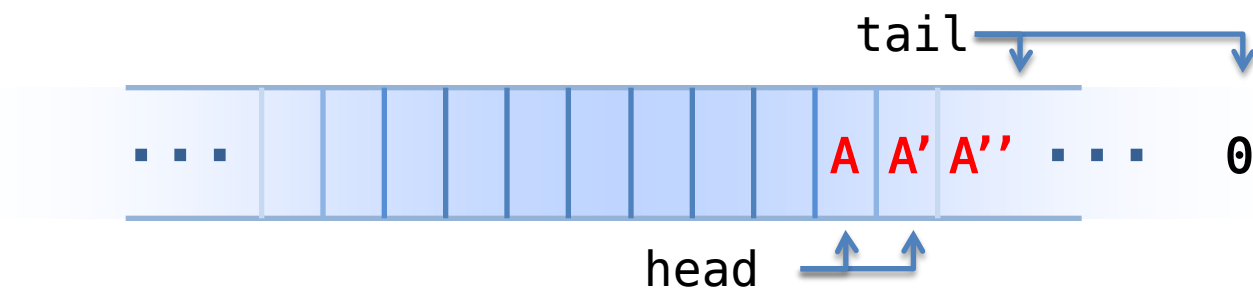




pop order ?

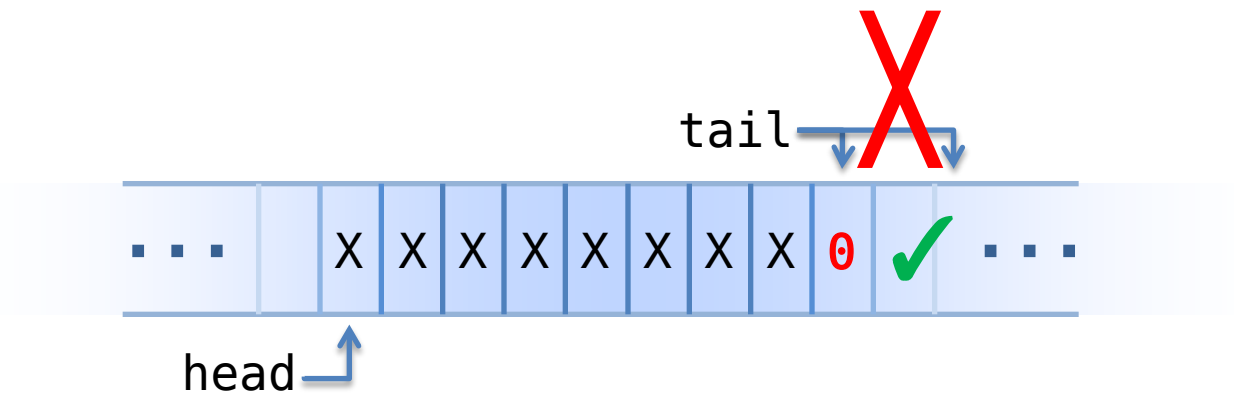


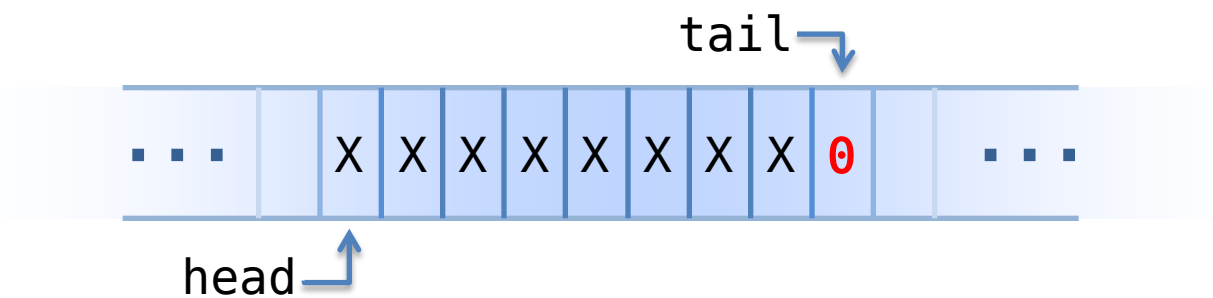
pop order ?



pop order ?

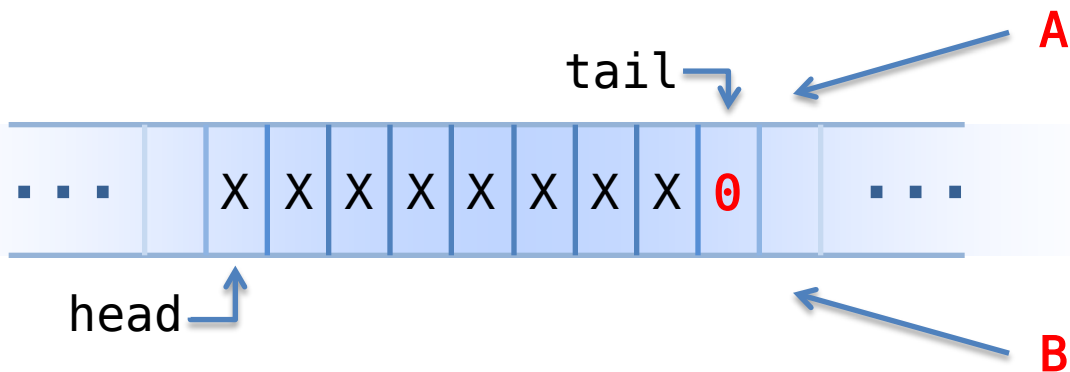






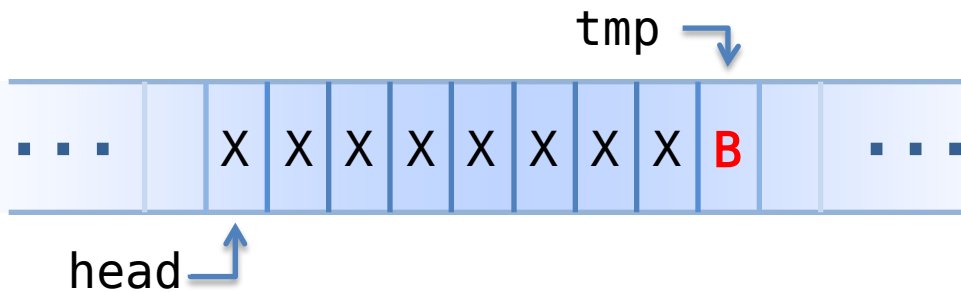


CAS(buffer[tail], 0, val)





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```





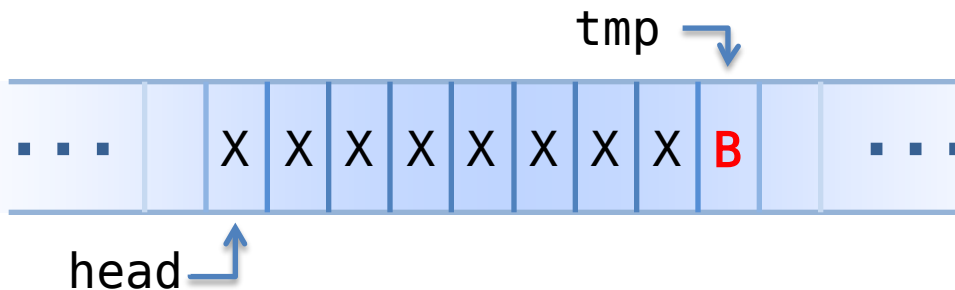
```
do  
    t = tail.load();  
while (!CAS(buffer[tmp], 0, val) );
```



head

```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```

if it fails  
**THEN** try again





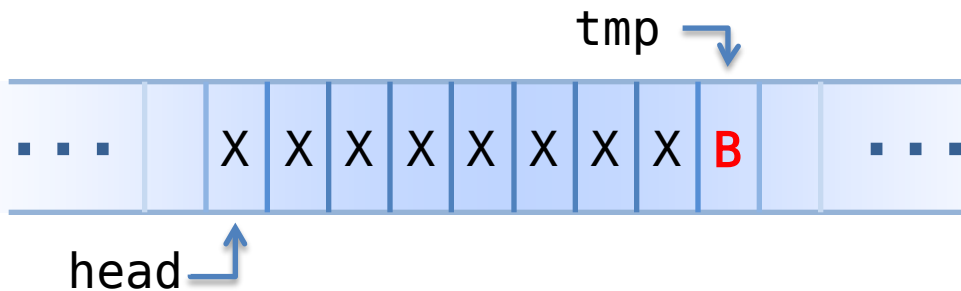
do

tmp = tail.load();

while ( ! CAS(buffer[tmp], 0, val) );

read tail

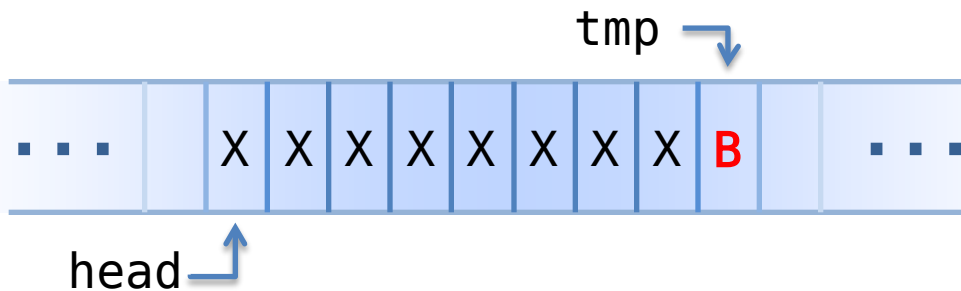
**THEN** read buffer





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```

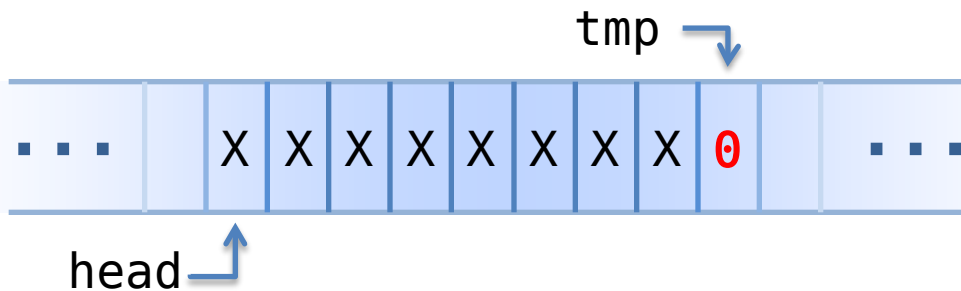
read **tail**  
**THEN** read **buffer**





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```

read **tail**  
**THEN** read **buffer**



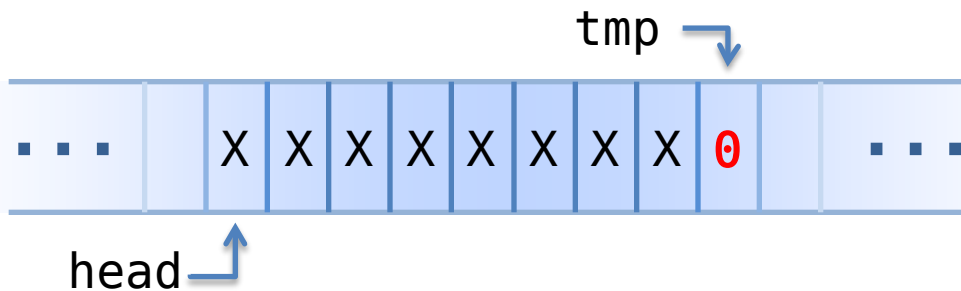


do

tmp = tail.load();

while ( ! CAS(buffer[tmp], 0, val) );

read **tail**  
**THEN** read **buffer**



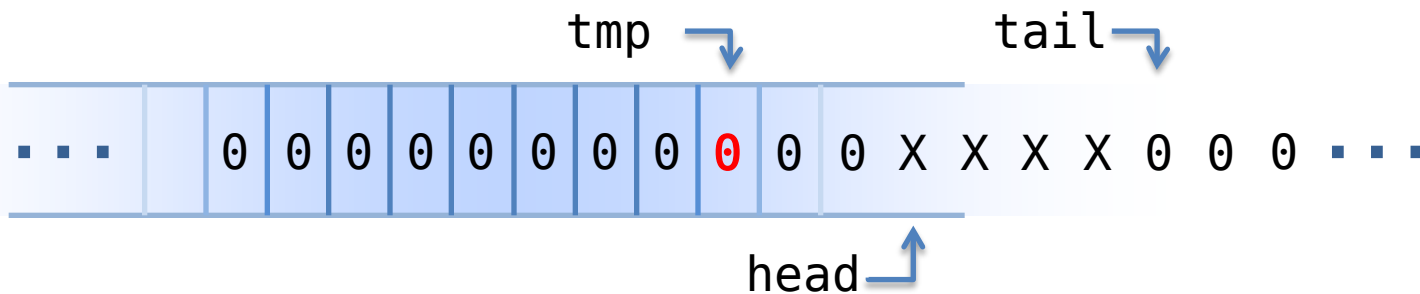


do

tmp = tail.load();

while ( ! CAS(buffer[tmp], 0, val) );

read **tail**  
**THEN** read **buffer**



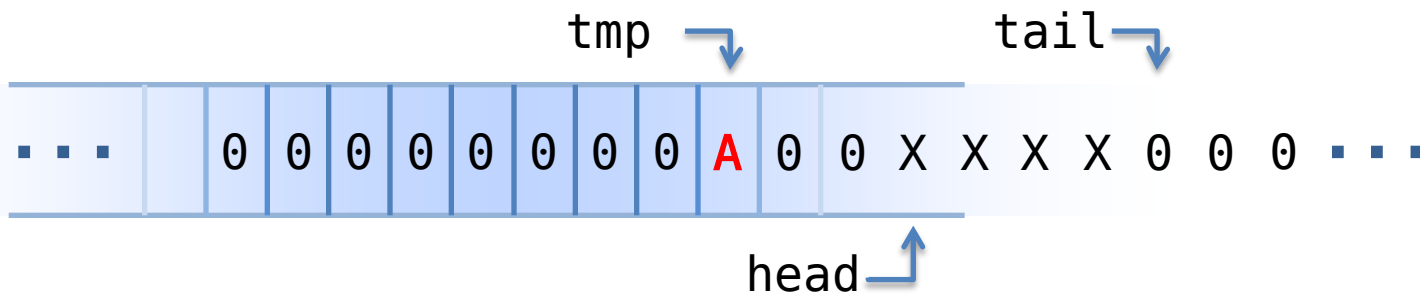


```
do
```

```
    tmp = tail.load();
```

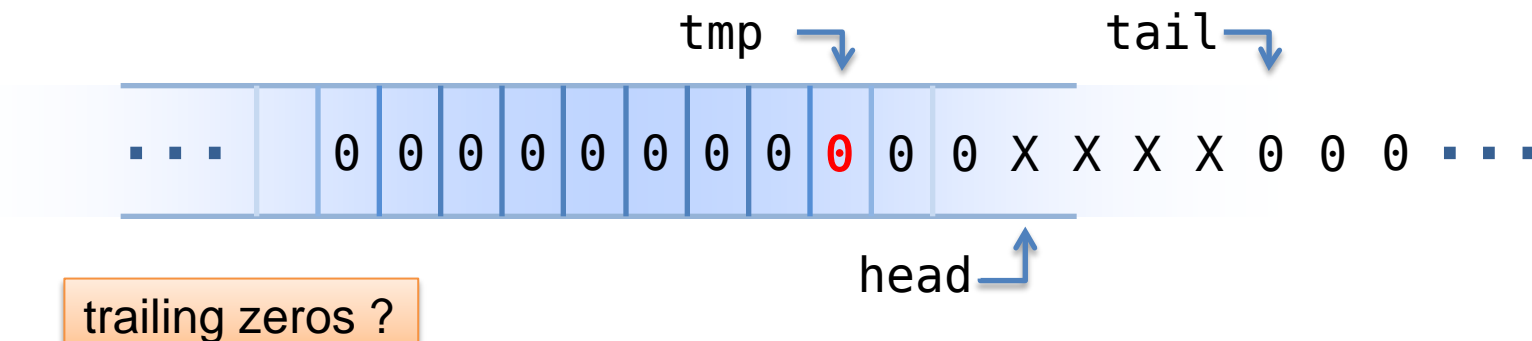
```
    while ( ! CAS(buffer[tmp], 0, val) );
```

```
read tail  
THEN read buffer
```



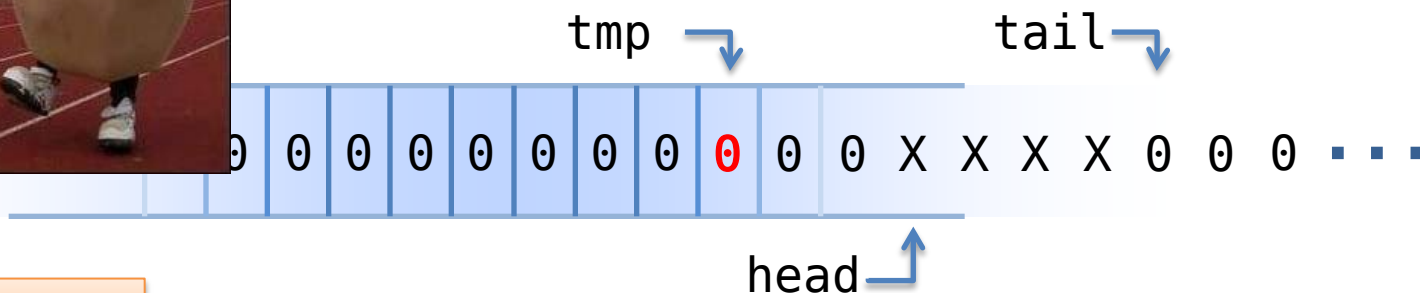


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



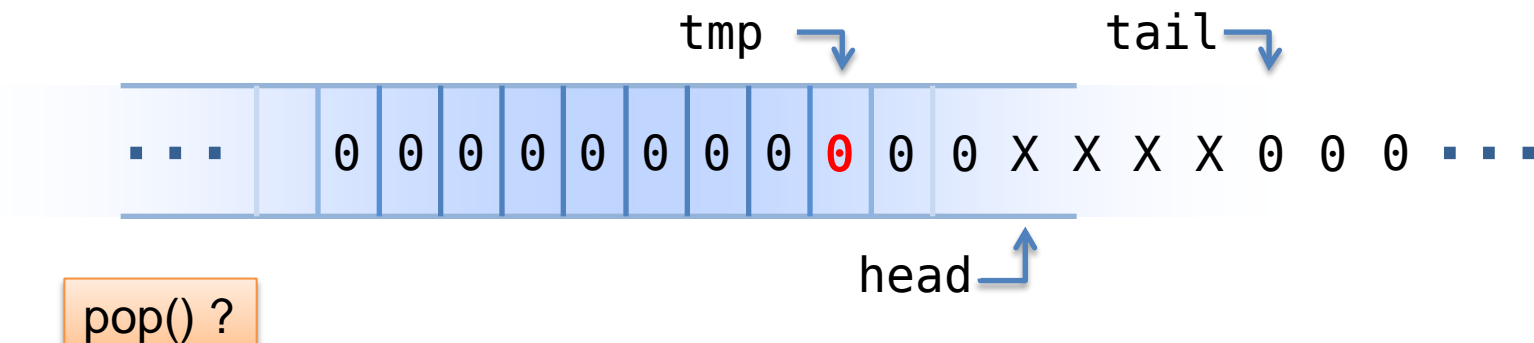
pop() ?



do

tmp = tail.load();

while ( ! CAS(buffer[tmp], 0, val) );





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```

Compromise...

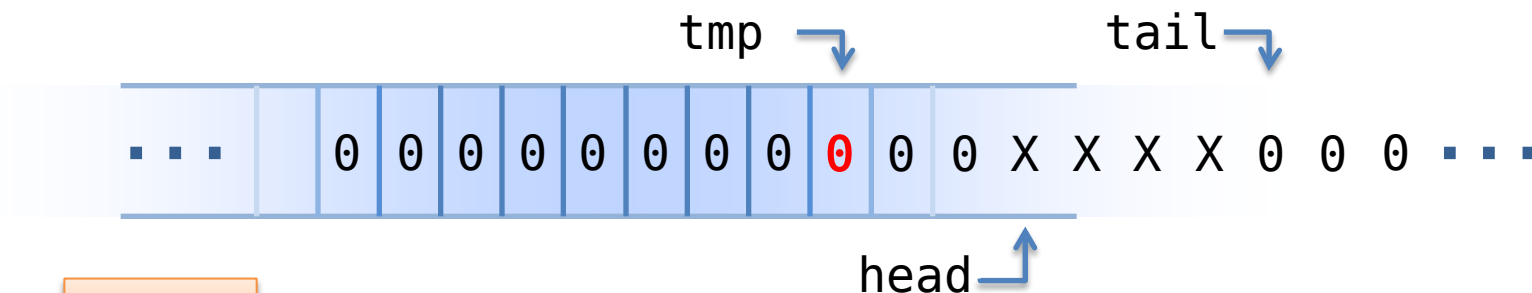


0 0 0 ■ ■ ■

pop() ?



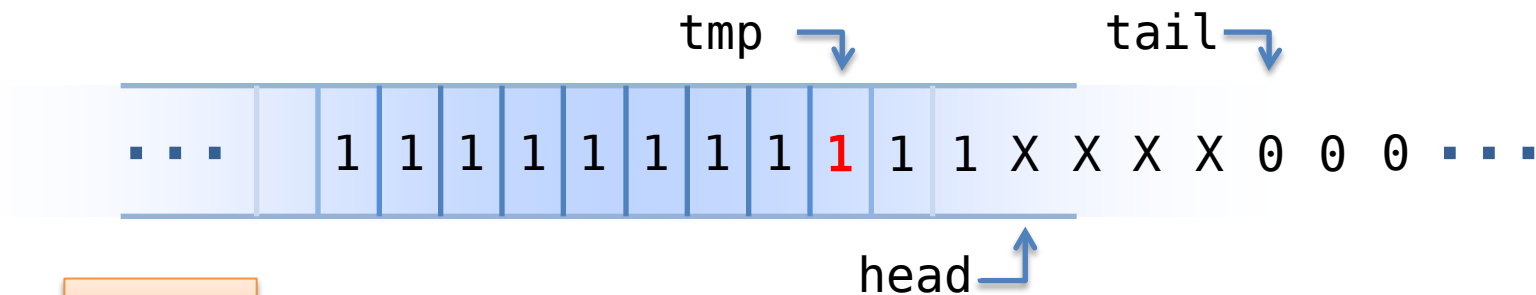
```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



pop() ?



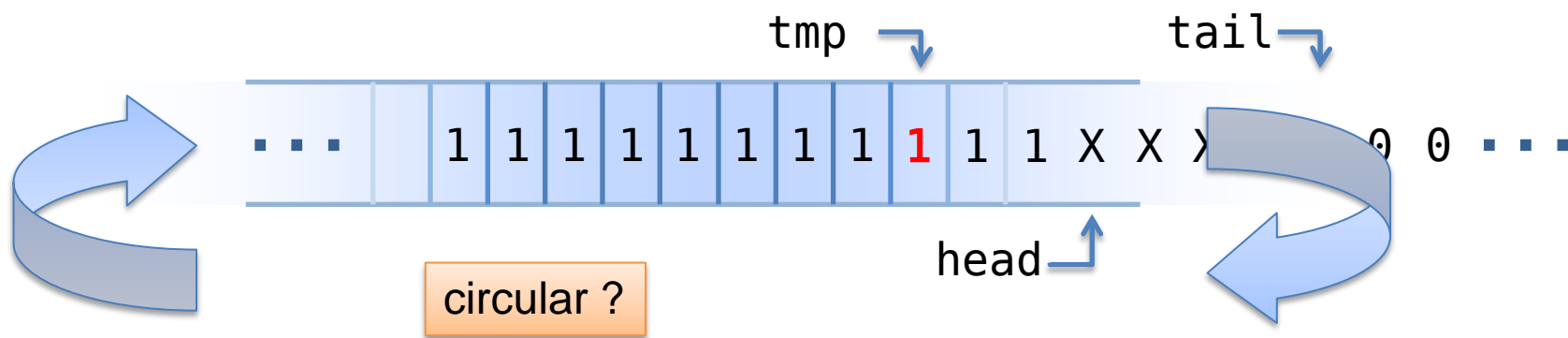
```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



pop() ?



```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



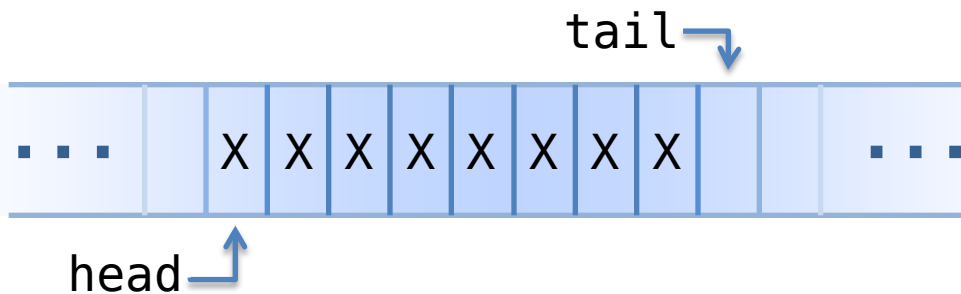




do

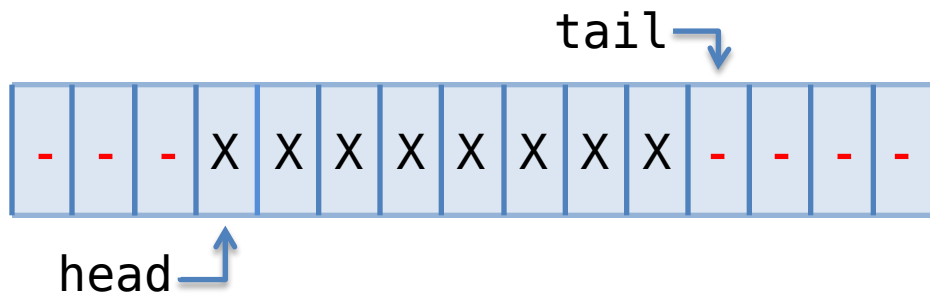
```
tmp = tail.load();
```

```
while ( ! CAS(buffer[tmp], 0, val) );
```



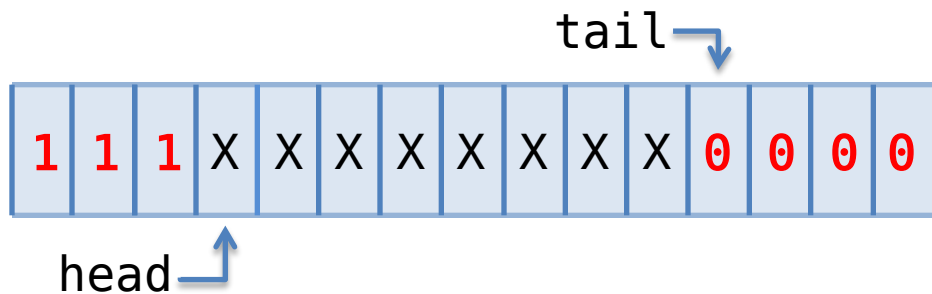


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



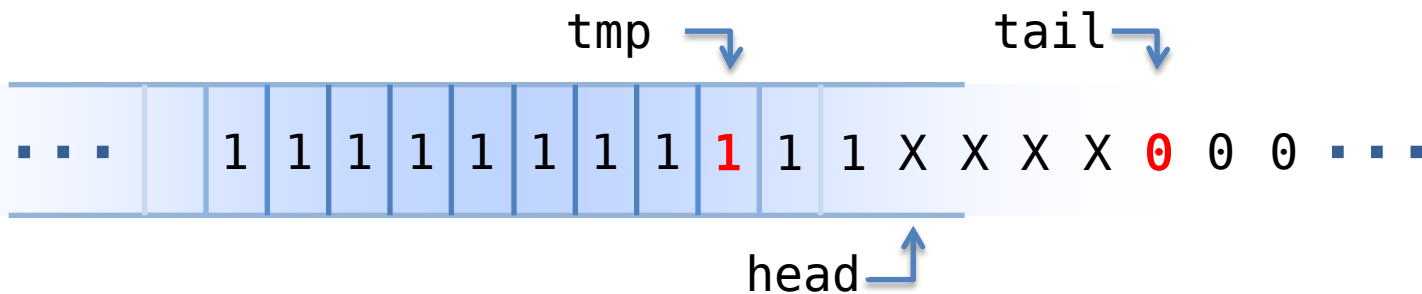


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



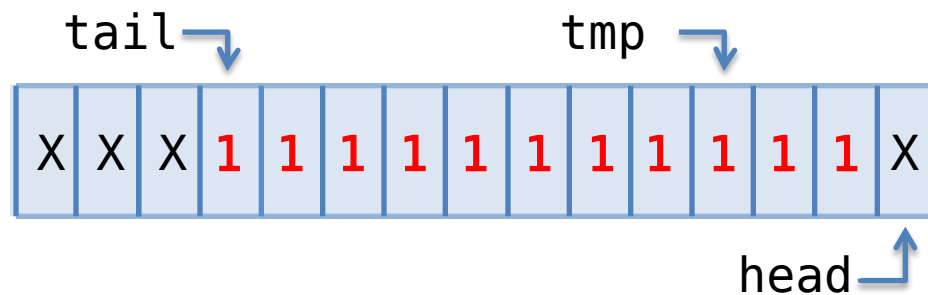


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



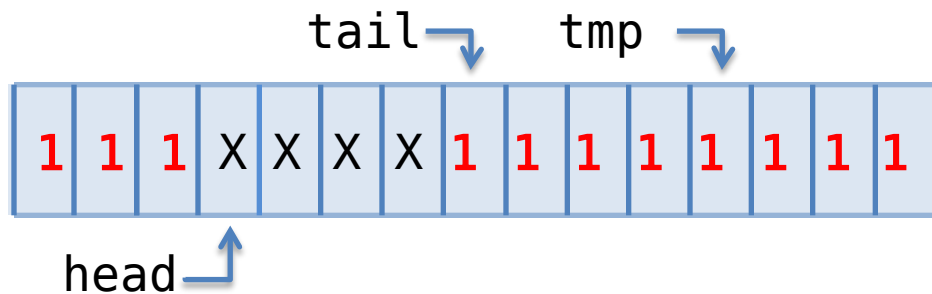


```
tmp = tail.load();
while ( ! CAS(buffer[tmp], 0, val) );
```





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



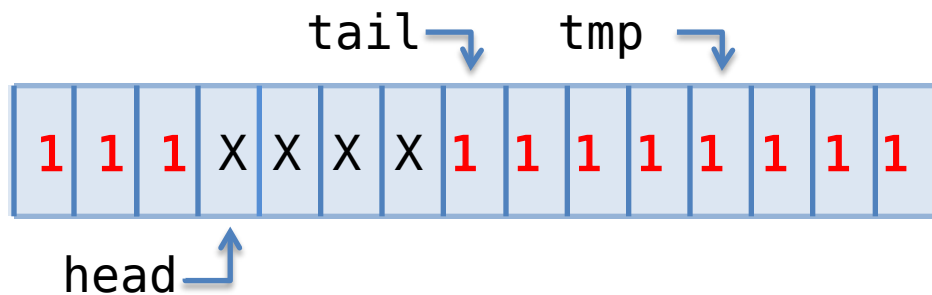


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```

**Compromise...**

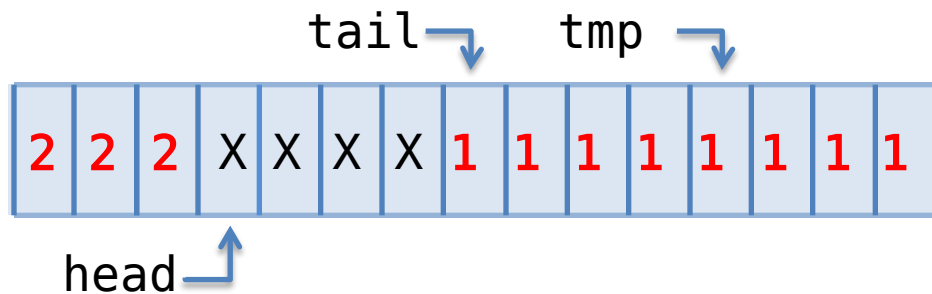


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



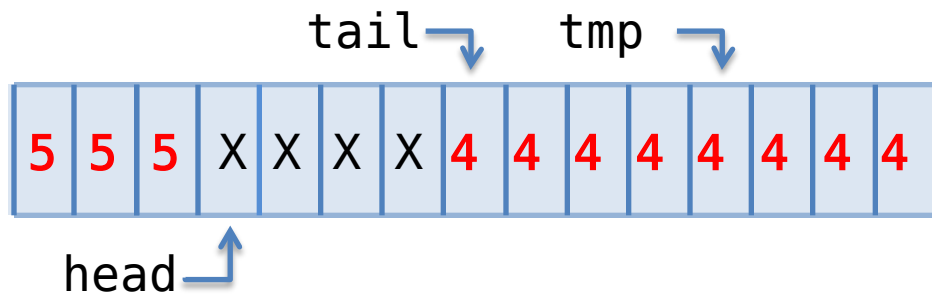


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



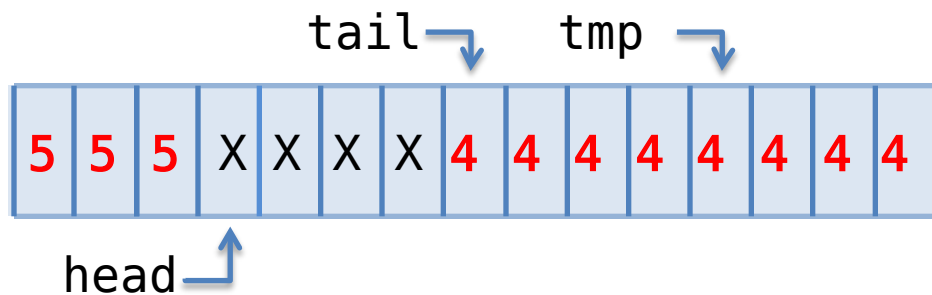


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```



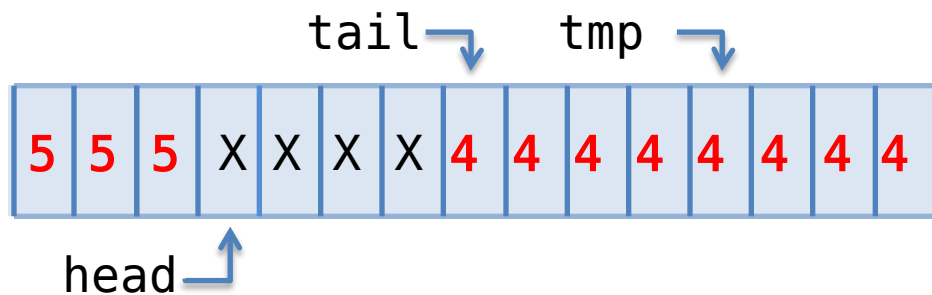


```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], 0, val) );
```





do

tmp = **tail.load()**;

while ( ! CAS(buffer[tmp], **0**, val) );

tmp  
tail



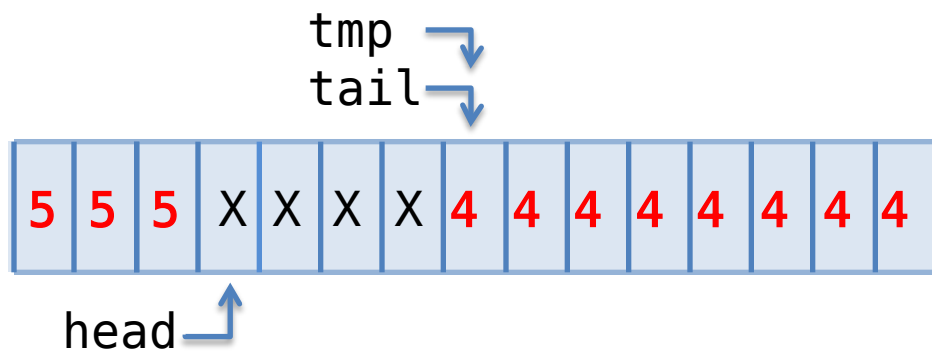
head



do

tmp = tail.load();

while ( ! CAS(buffer[tmp], 4, val) );

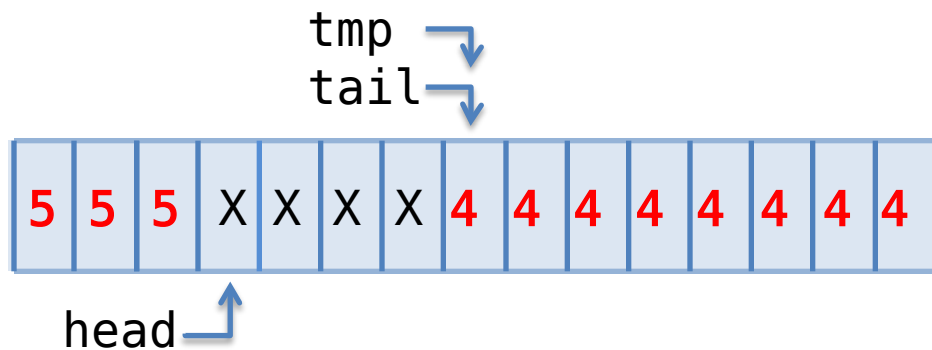




do

tmp = tail.load();

while ( ! CAS(buffer[tmp], **gen(tmp)**, val) );



```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

tmp ↘

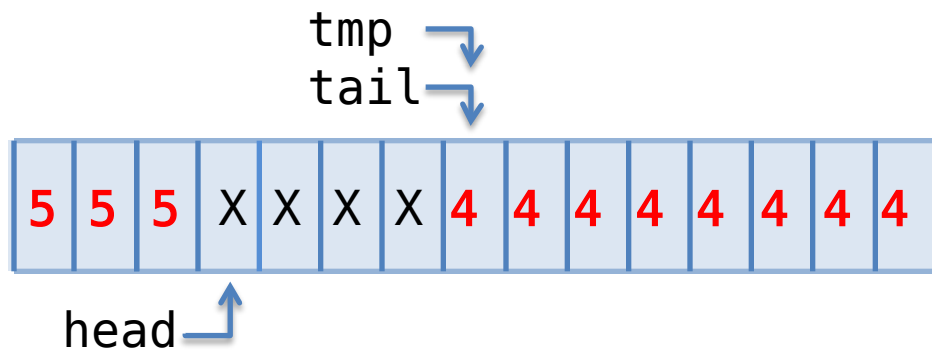
**Compromise...**



```
do
```

```
    tmp = tail.load();
```

```
    while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

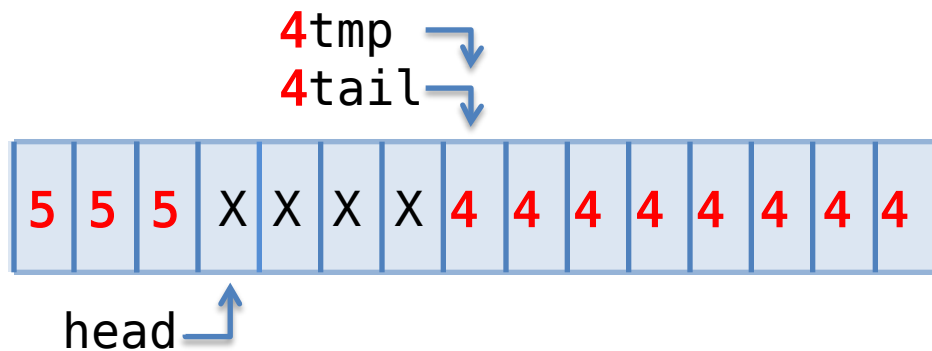




```
do
```

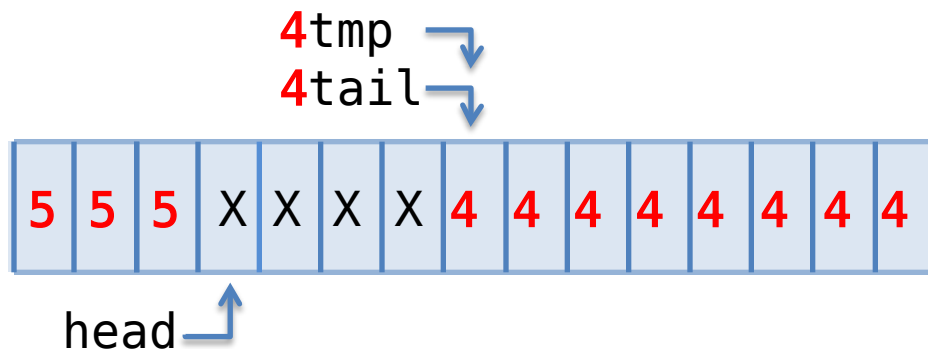
```
    tmp = tail.load();
```

```
    while ( ! CAS(buffer[tmp], gen(tmp), val) );
```





```
do
    tmp = tail.load();
while ( ! CAS(buffer[tmp], gen(tmp), val) );
```





snapshot

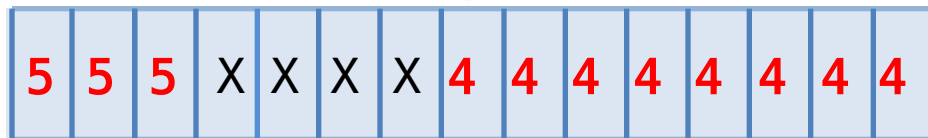
do

tmp = tail.load();

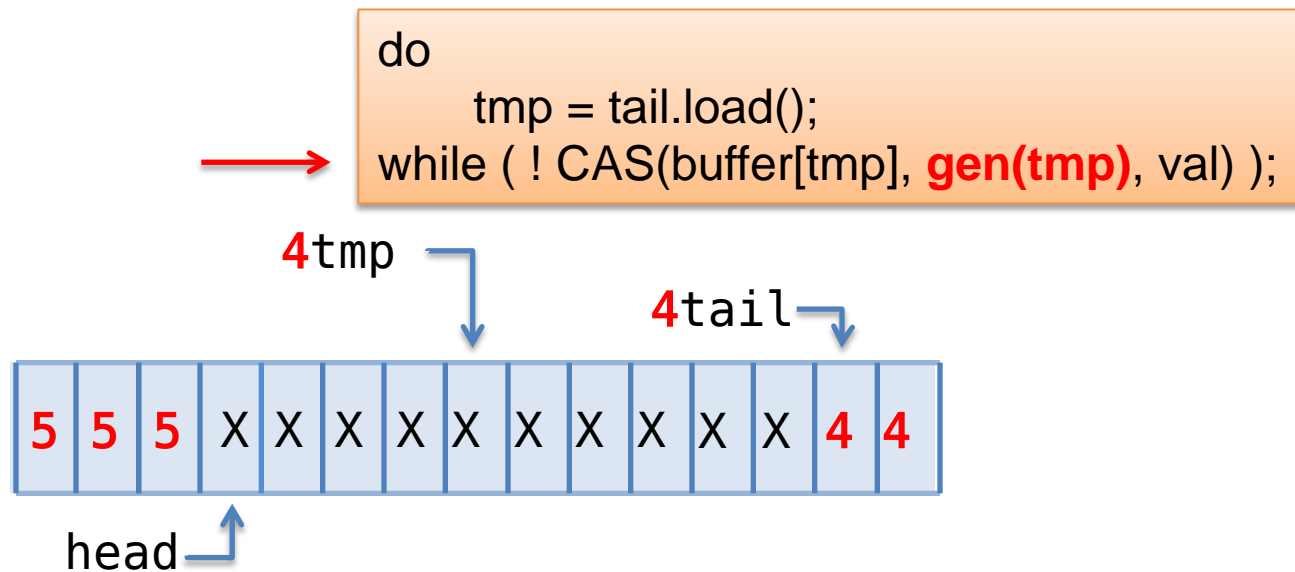
while ( ! CAS(buffer[tmp], **gen(tmp)**, val) );

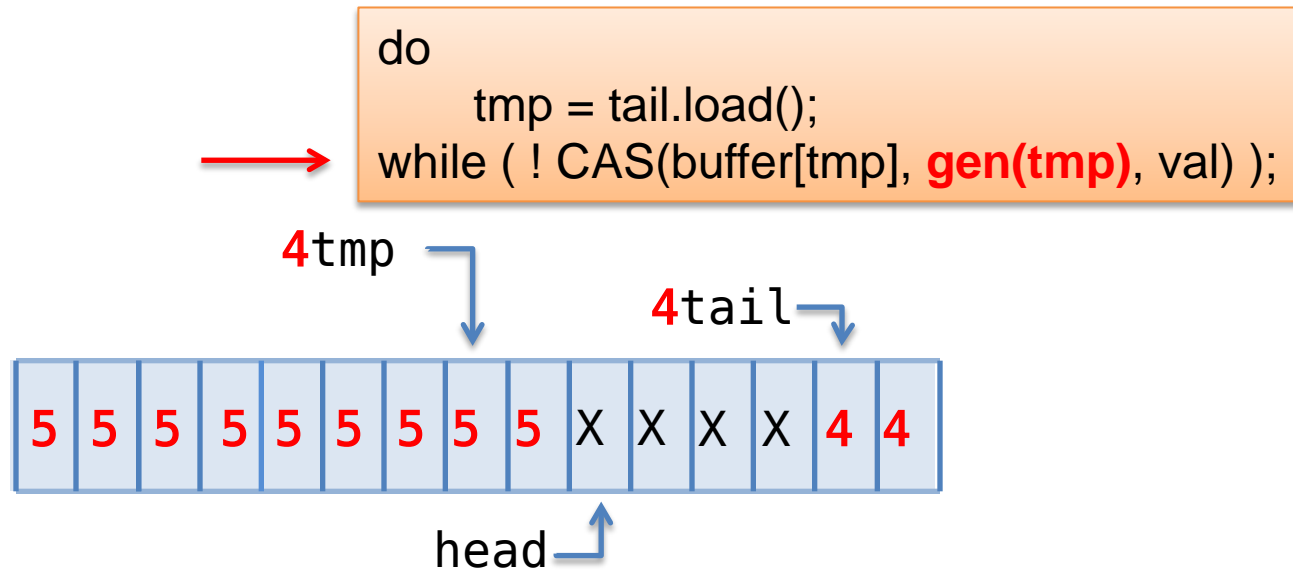
4tmp

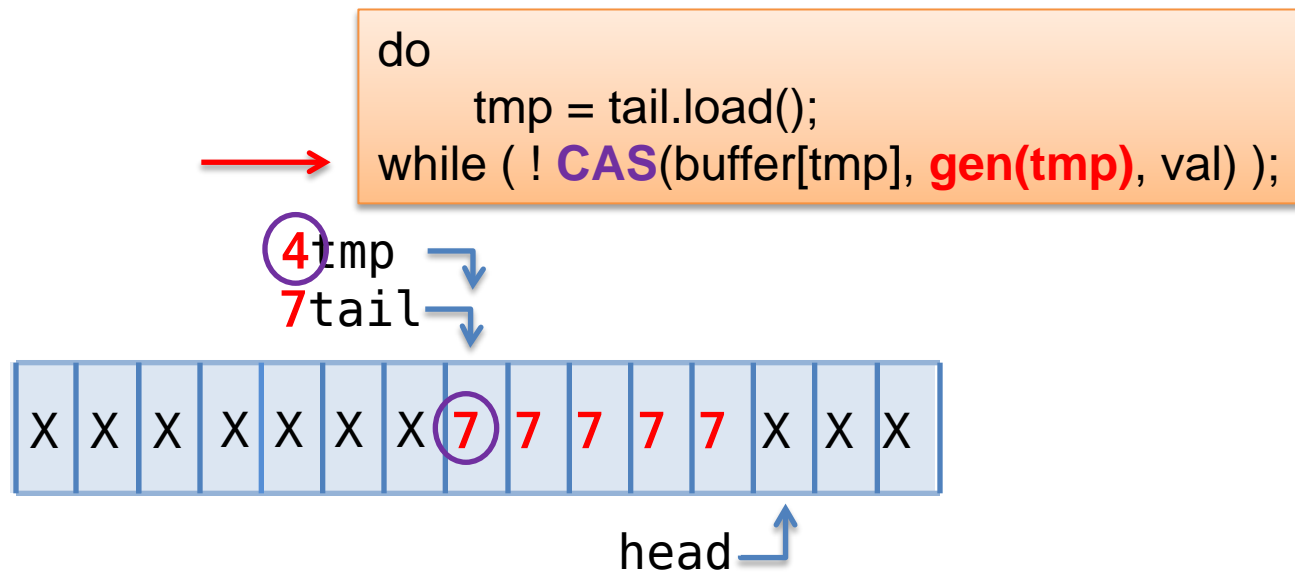
4tail



head







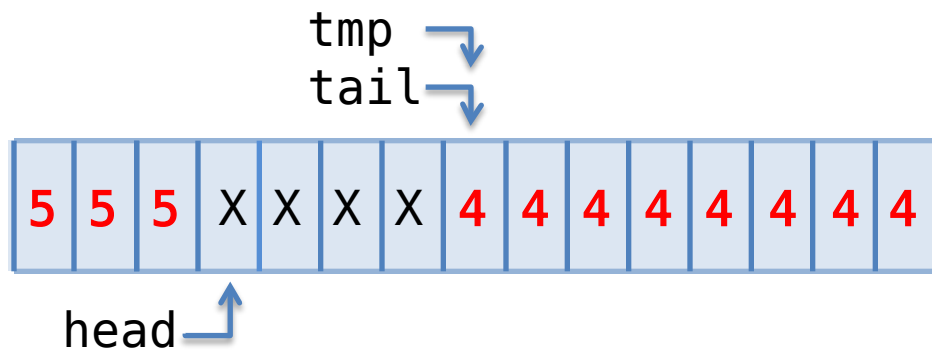
All states are valid states for all lines of code (\*)



```
do
```

```
    tmp = tail.load();
```

```
    while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

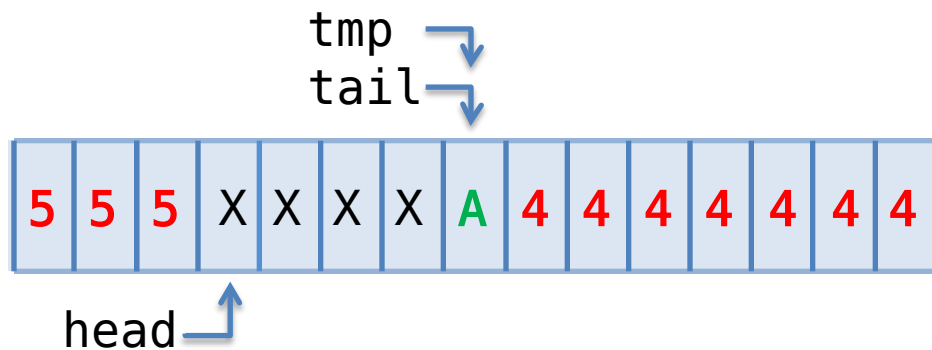




```
do
```

```
    tmp = tail.load();
```

```
    while ( ! CAS(buffer[tmp], gen(tmp), val) );
```



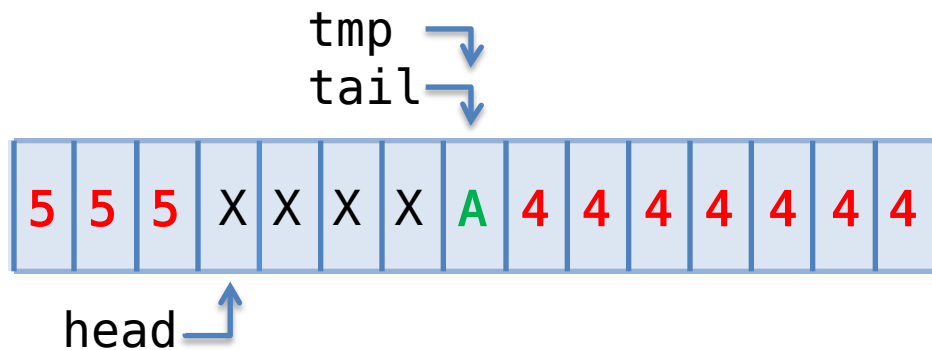


do

tmp = tail.load();

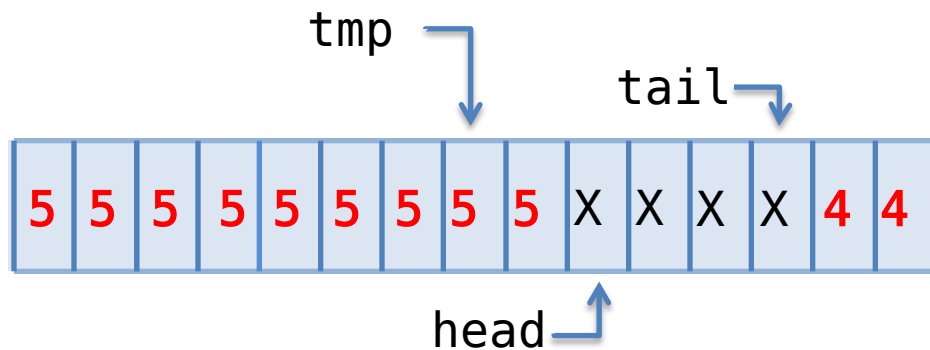
while ( ! CAS(buffer[tmp], gen(tmp), val) );

tail++; ///???





```
do  
    tmp = tail.load();  
while ( ! CAS(buffer[tmp], gen(tmp), val) );  
tail++; ///???
```



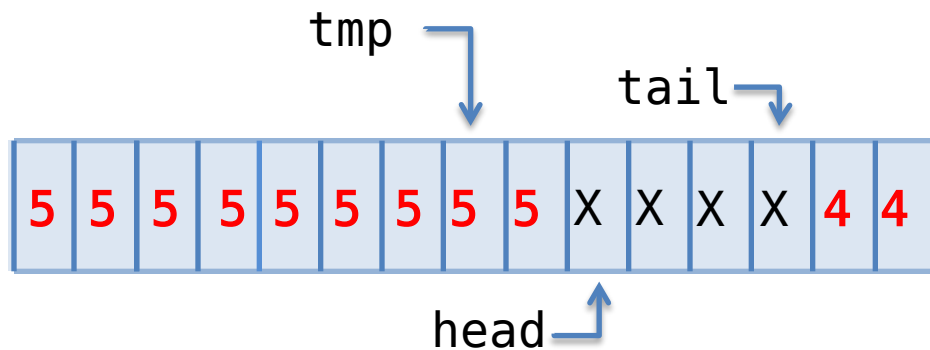


do

```
tmp = tail.load();
```

```
while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

```
tail++; // yes!
```



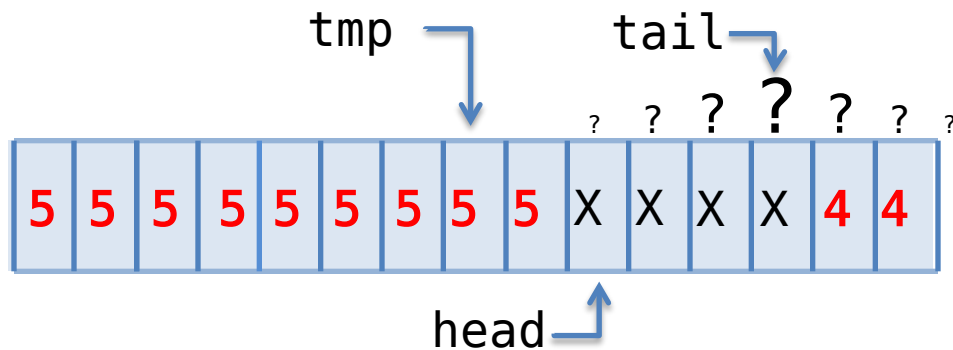


do

tmp = tail.load();

while ( ! CAS(buffer[tmp], gen(tmp), val) );

tail++; // yes!



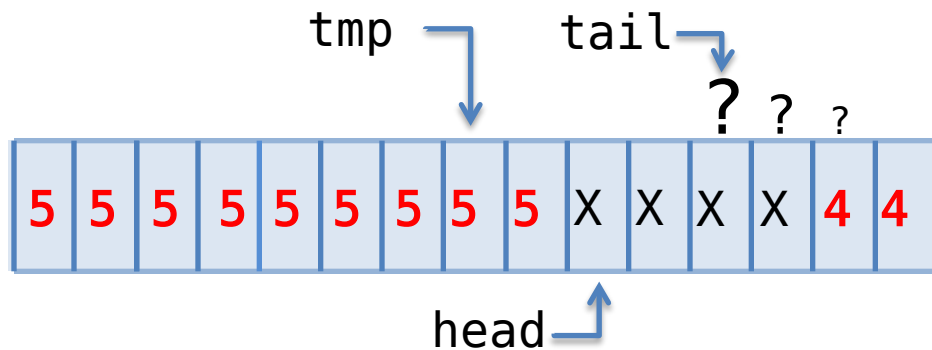


do

```
tmp = tail.load();
```

```
while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

```
tail++; // yes!
```





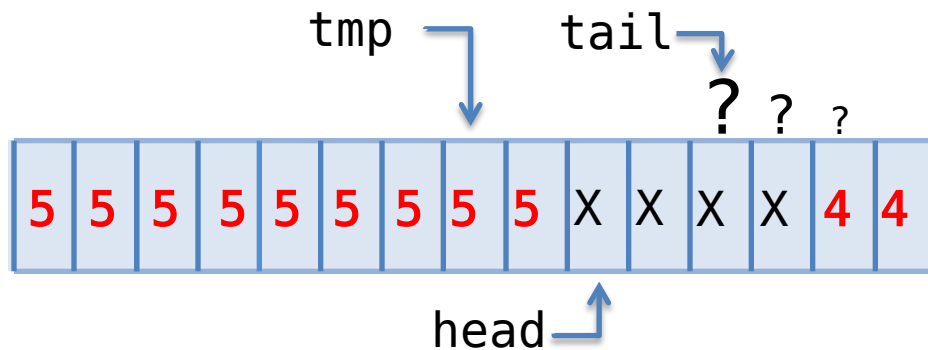
spinlock ?

do

```
tmp = tail.load();
```

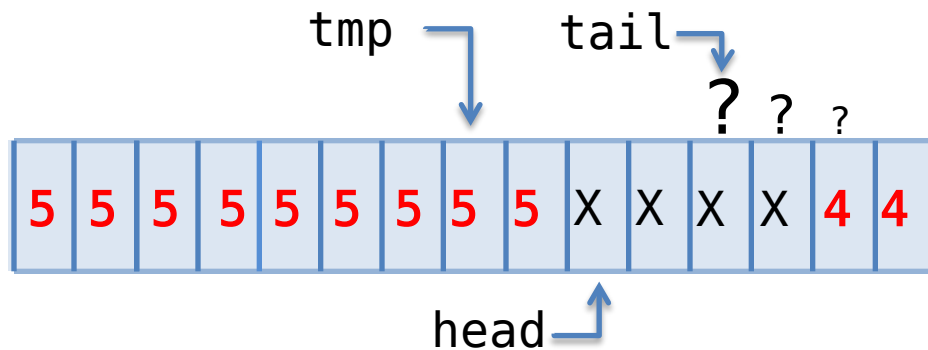
```
while ( ! CAS(buffer[tmp], gen(tmp), val) );
```

```
tail++; // yes!
```





```
do {  
    tmp = tail.load();  
    while (buffer[tmp] != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
tail++; // yes!
```





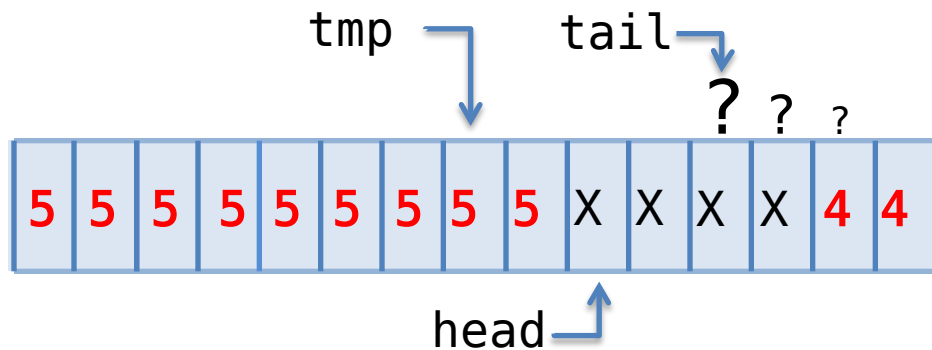
```
do {  
    tmp = tail.load();  
    while (buffer[tmp] != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
tail++; // yes!
```

tmp  tail 

**Sorry Herb...**

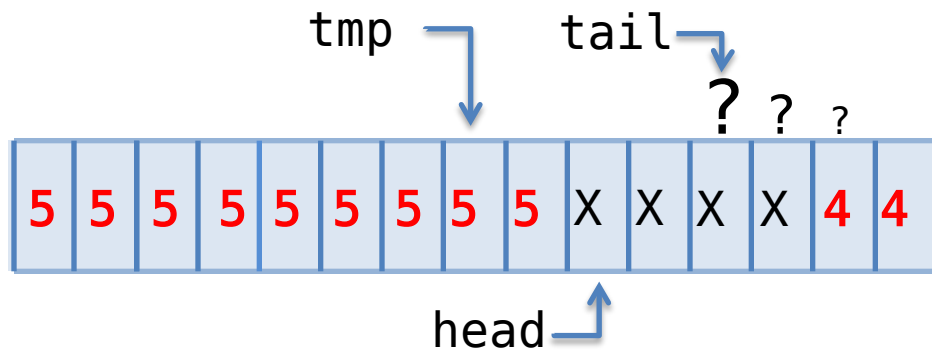


```
do {  
    tmp = tail.load(memory_order_relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
tail++; // yes!
```





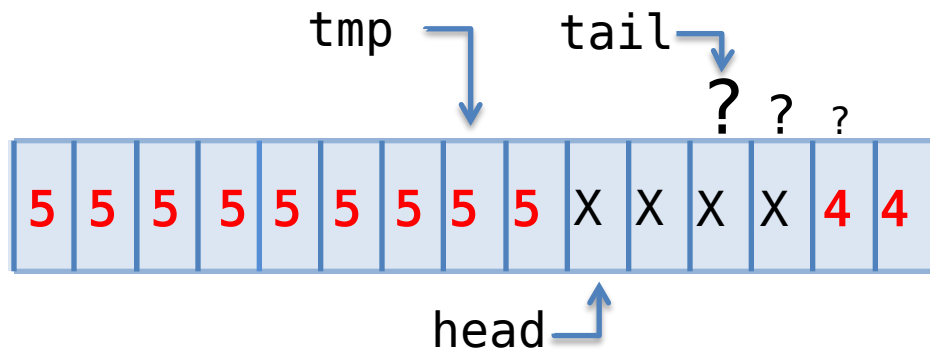
```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

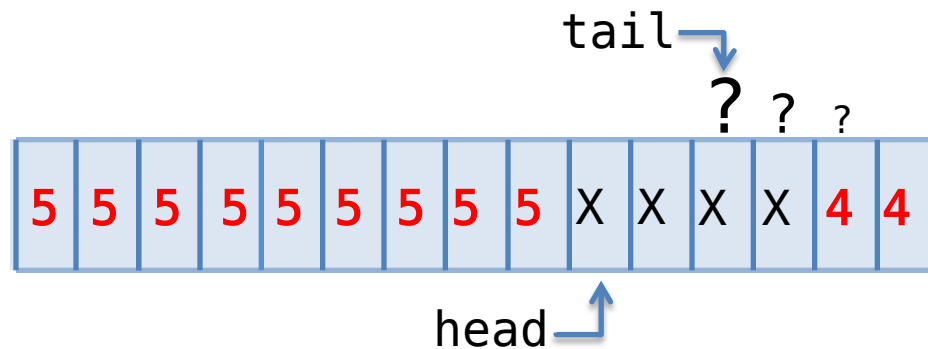
Is tail up to date “now”? →





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

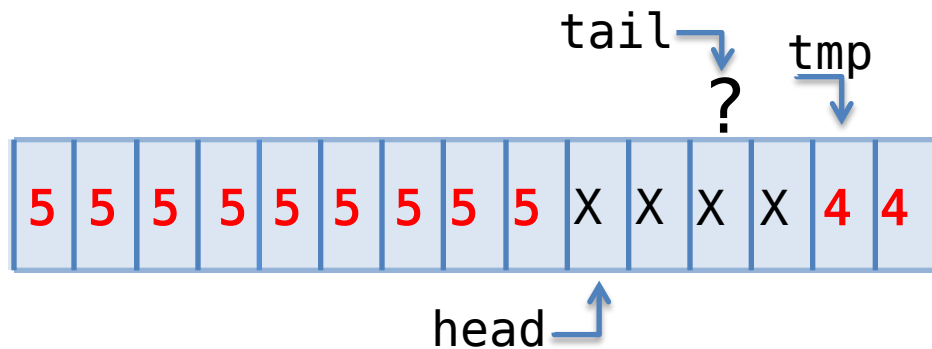
Is tail up to date “now”? →





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

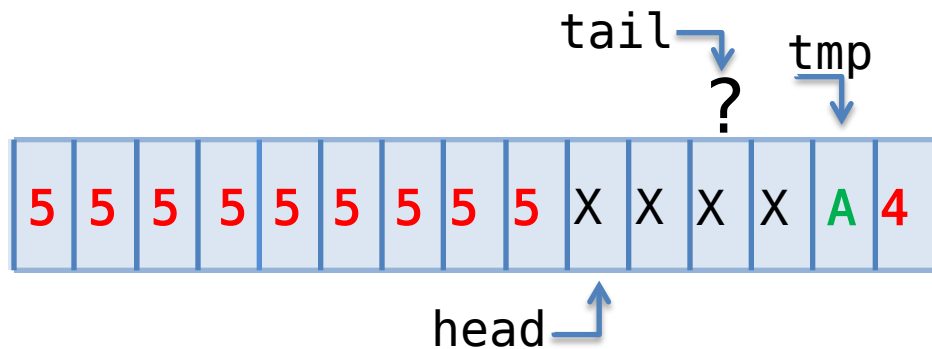
Is tail up to date “now”?





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

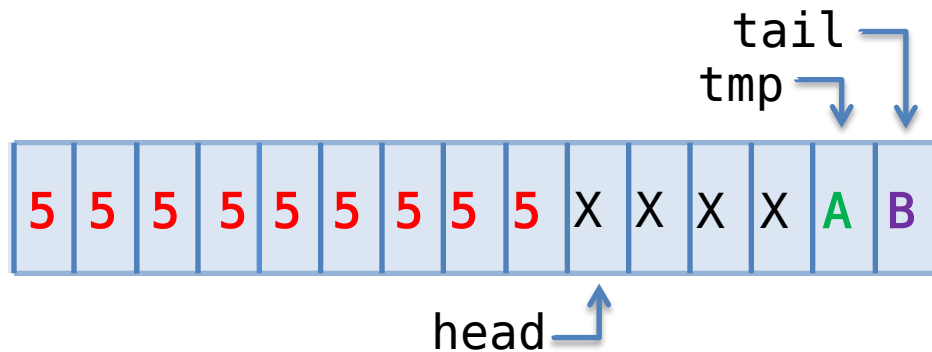
Is tail up to date “now”?





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

Is tail up to date “now”?

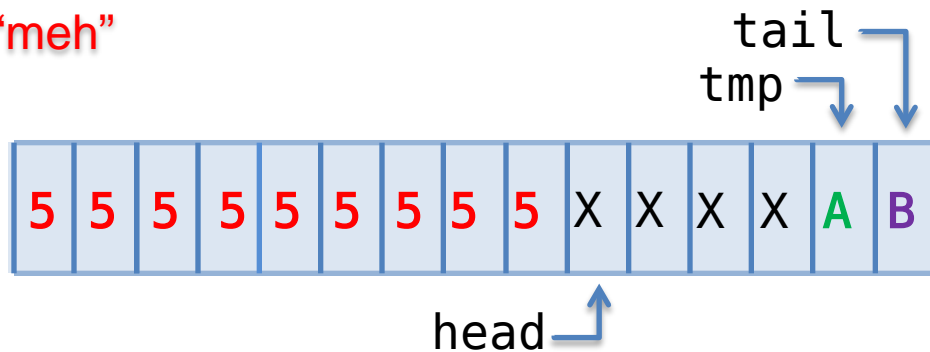




```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```


Is tail up to date “now”?

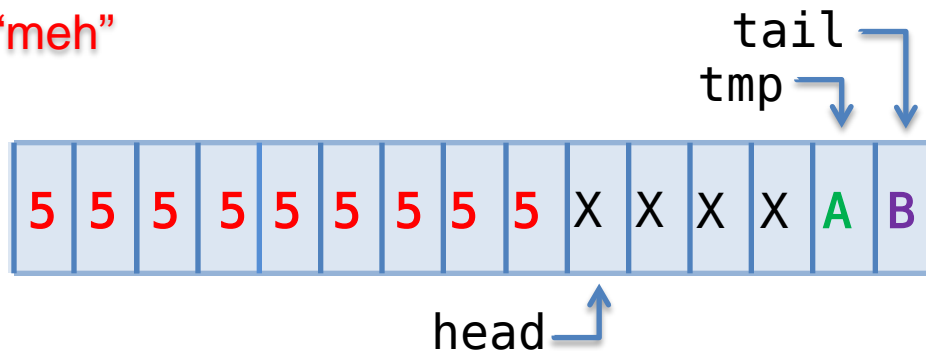
“meh”





```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1, relaxed);
```

Is tail up to date “now”?   
“meh”

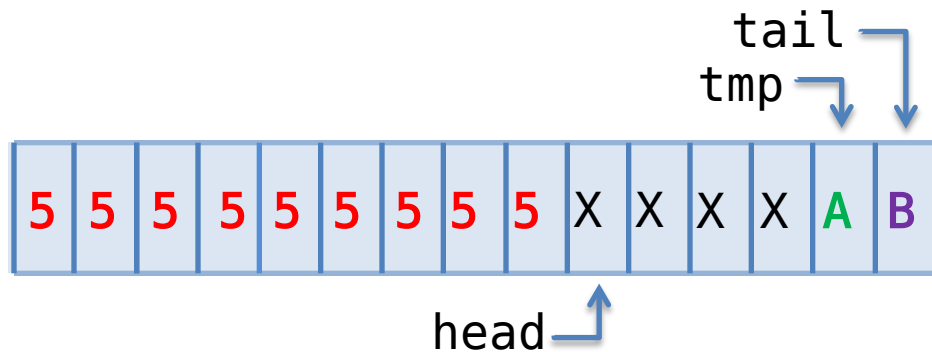




push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

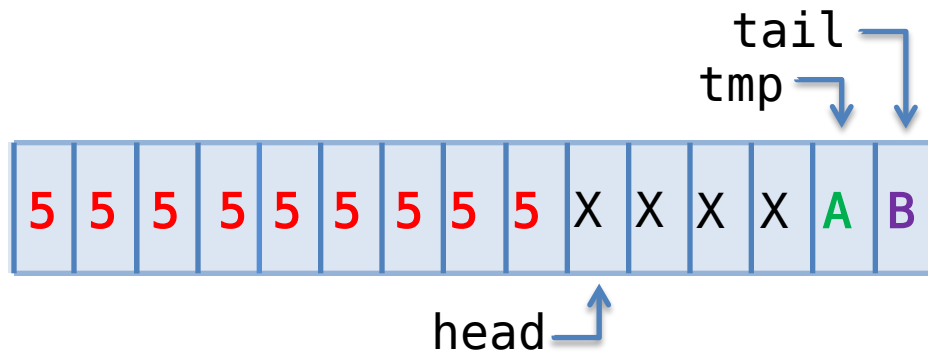




push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```



All states are valid states for all lines of code?



push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```



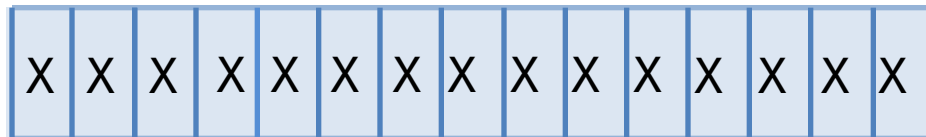
All states are valid states for all lines of code?



push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```



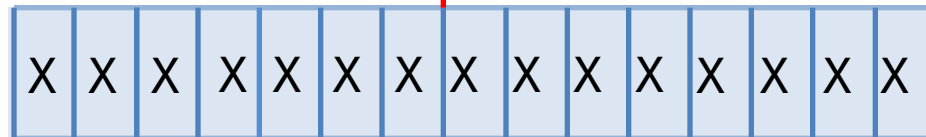
All states are valid states for all lines of code?



push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```



All states are valid states for all lines of code?



push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail →  
?



All states are valid states for all lines of code?

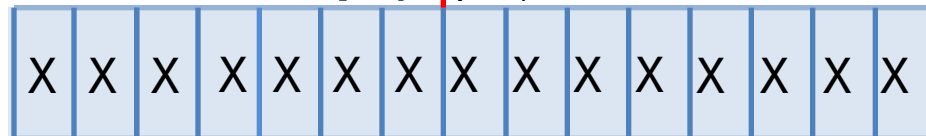


push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail →  
? ? ? ?



All states are valid states for all lines of code?



push(val)



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail

? ? | ? ?



All states are valid states for all lines of code?



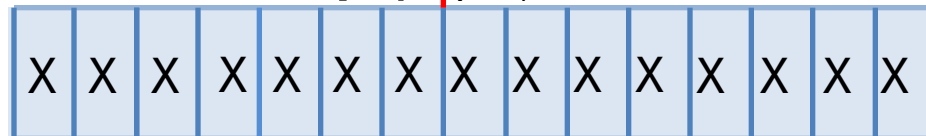
(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail

? ? | ? ?



All states are valid states for all lines of code?

(worse?) spinlock ?

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail

**Compromise...**

All states are valid states for all lines of code?



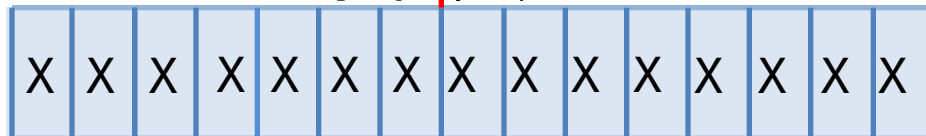
(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val) );  
CAS(tail, oldtail, tmp+1);
```

tail

? ? | ? ?



All states are valid states for all lines of code?



(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    while (buffer[tmp].load(relaxed) != gen(tmp))  
        tmp++;  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp)  
CAS(tail, oldtail, tmp+1);
```

4tail

? ? | ? ?



All states are valid states for all lines of code?



(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp) )  
CAS(tail, oldtail, tmp+1);
```

**4**tail

? ? | ? ?



All states are valid states for all lines of code?

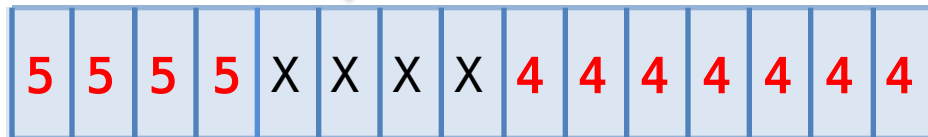


(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

tmp

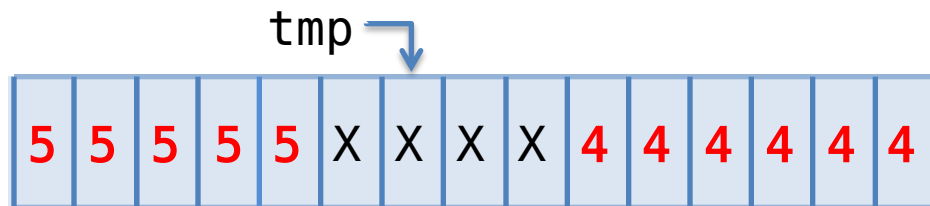


All states are valid states for all lines of code?



(worse?) spinlock ?

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

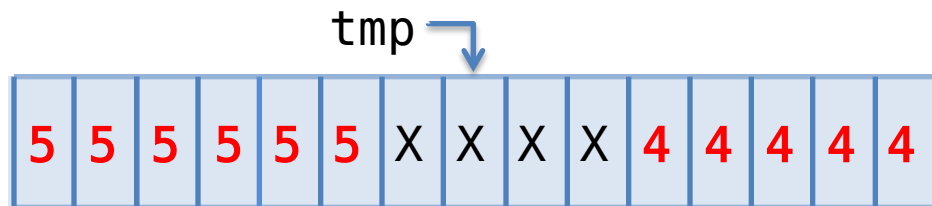


All states are valid states for all lines of code?



(worse?) spinlock ?

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

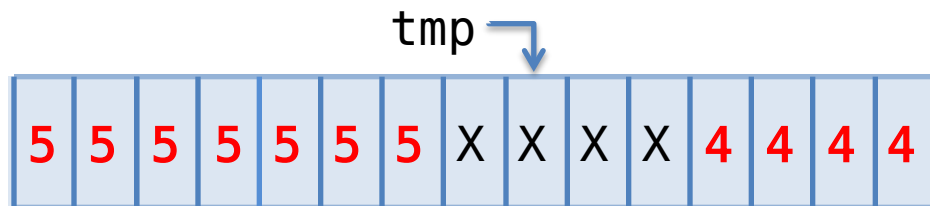


All states are valid states for all lines of code?



(worse?) spinlock ?

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```



All states are valid states for all lines of code?

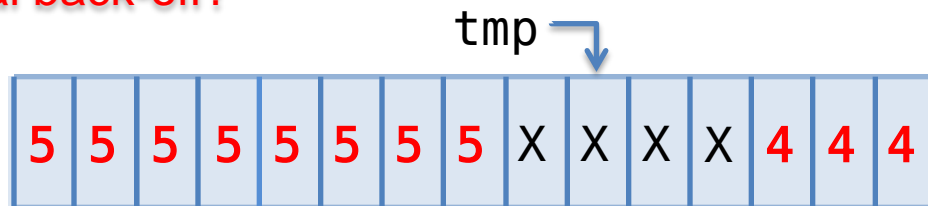


(worse?) spinlock ?



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

unlikely, however...  
**exponential back-off?**



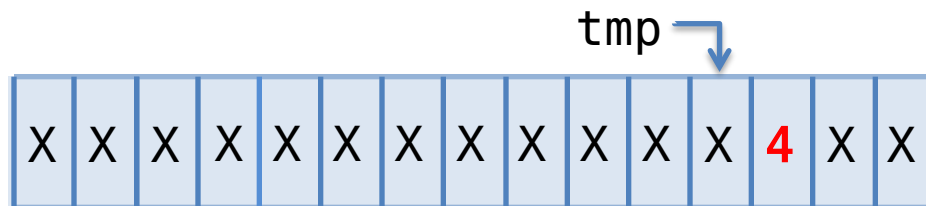
All states are valid states for all lines of code?



“fullish”



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) ...??;  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

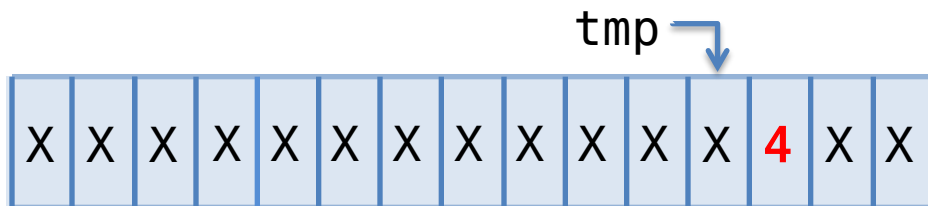


All states are valid states for all lines of code?

“fullish”



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) wait_for_space();  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```



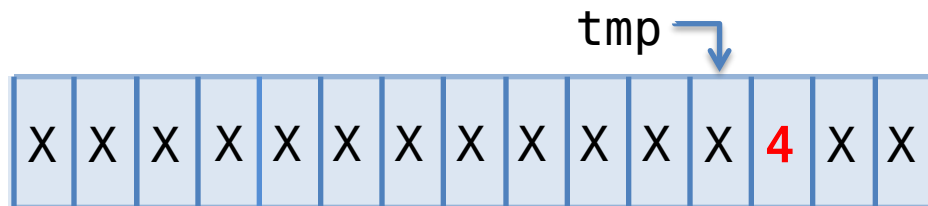
All states are valid states for all lines of code?



“fullish”



```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) { wait_for_space(); continue;}  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

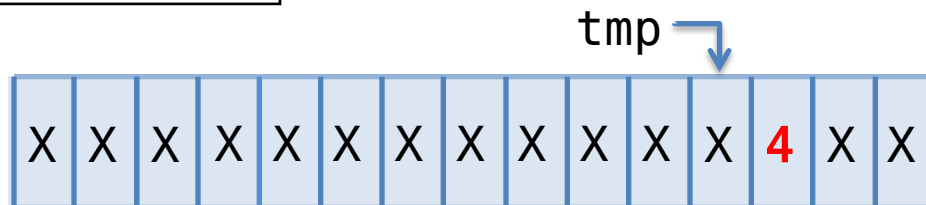


All states are valid states for all lines of code?



```
{  
    unique_lock lock(mutex);  
  
    while (still_fullish())  
        cond_full.wait(lock);  
}
```

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) { wait_for_space(); continue; }  
    while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp)) )  
        CAS(tail, oldtail, tmp+1);  
}
```



All states are valid states for all lines of code?



# Lock-free by Example

(one very complicated example)



Tony Van Eerd

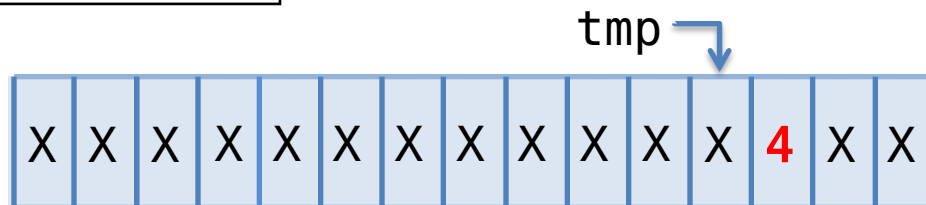
CppCon, September 2014





```
{  
    unique_lock lock(mutex);  
  
    while (still_fullish())  
        cond_full.wait(lock);  
}
```

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) { wait_for_space(); continue; }  
    while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
           CAS(tail, oldtail, tmp+1);
```

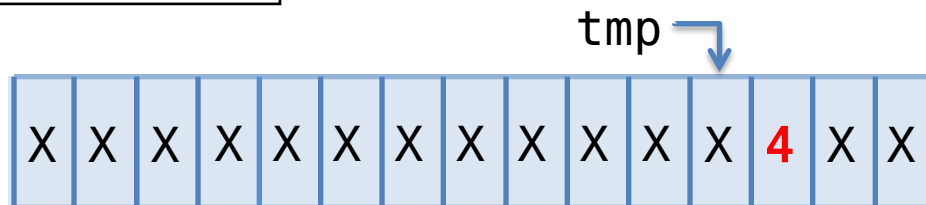


All states are valid states for all lines of code?



```
{  
    unique_lock lock(mutex);  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if (tmp == FULL) { wait_for_space(); continue;}  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```

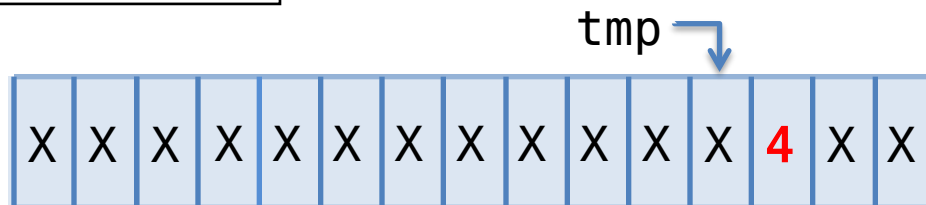


All states are valid states for all lines of code?



```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```

```
do {  
    tmp = oldtail = tail.load(relaxed);  
    tmp = find_tail(tmp, &oldtail);  
    if(tmp == FULL)wait_for_space(&tmp,&oldtail);  
} while ( ! CAS(buffer[tmp], gen(tmp), val | odd(tmp))  
CAS(tail, oldtail, tmp+1);
```



All states are valid states for all lines of code?

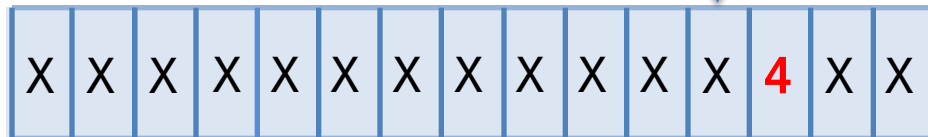


```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```



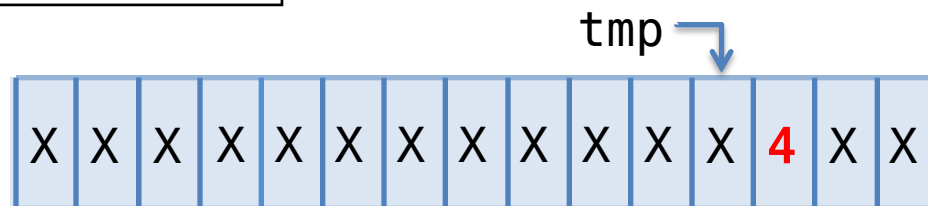
who calls **notify()**?

tmp





```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```



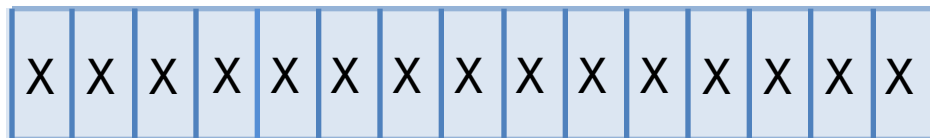
```
int pop() {  
    ...  
    cond_full.notify();  
}
```



```
int pop() {  
    ...  
    unique_lock lock(mutex);  
    cond_full.notify();  
}
```



```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```



```
int pop() {  
    ...  
    cond_full.notify();  
}
```



```
int pop() {  
    ...  
    unique_lock lock(mutex);  
    cond_full.notify();  
}
```



```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```



```
int pop() {  
    ...  
    cond_full.notify();  
}
```



```
int pop() {  
    ...  
    unique_lock lock(mutex);  
    cond_full.notify();  
}
```





```
{  
    unique_lock lock(mutex);  
  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}
```



```
int pop() {  
    ...  
    cond_full.notify();  
}
```



```
int pop() {  
    ...  
    unique_lock lock(mutex);  
    cond_full.notify();  
}
```



```
waiting = true;
{
    unique_lock lock(mutex);

    while ( ! ...find_tail... )
        cond_full.wait(lock);
}
```

I'm  
waiting!



```
int pop() {
    ...
    cond_full.notify();
}
```



```
int pop() {
    ...
    unique_lock lock(mutex);
    cond_full.notify();
}
```

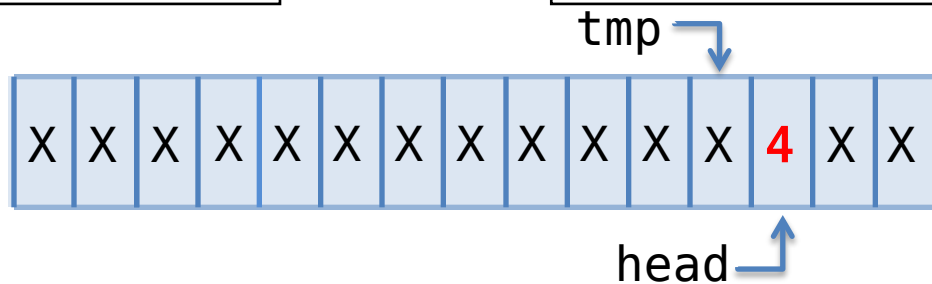


```
waiting = true;
{
    unique_lock lock(mutex);

    while ( ! ...find_tail... )
        cond_full.wait(lock);
}
```

I'm  
waiting!

```
int pop() {
    ...CAS(buffer[x], val, gen); //4
    if (waiting) {
        unique_lock lock(mutex);
        cond_full.notify();
    }
}
```

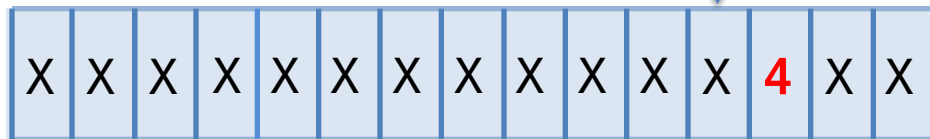




```
waiting = true;
{
    unique_lock lock(mutex);
    while ( ! ...find_tail... )
        cond_full.wait(lock);
}
waiting = false;
```

I'm  
waiting!

```
int pop() {
    ...CAS(buffer[x], val, gen); //4
    if (waiting) {
        unique_lock lock(mutex);
        cond_full.notify();
    }
}
```



tmp

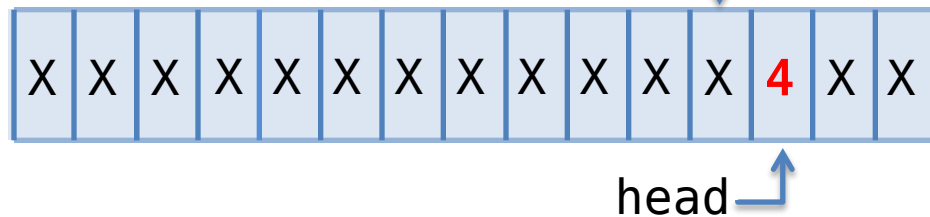
head



```
waiting++;  
{  
    unique_lock lock(mutex);  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}  
waiting--;
```

I'm  
waiting!

```
int pop() {  
    ...CAS(buffer[x], val, gen); //4  
    if (waiting) {  
        unique_lock lock(mutex);  
        cond_full.notify();  
    }  
}
```

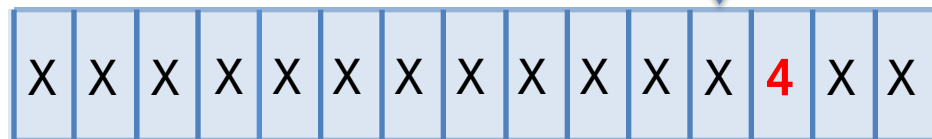




```
waiting++;  
{  
    unique_lock lock(mutex);  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
}  
waiting--;
```

I'm  
waiting!

```
int pop() {  
    ...CAS(buffer[x], val, gen); //4  
    if (waiting) {  
        unique_lock lock(mutex);  
        cond_full.notify();  
    }  
}
```



tmp

head

rarely

always



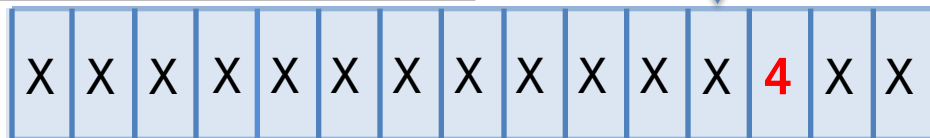
I'm waiting!

A horizontal sequence of 15 light blue boxes, each containing a character. The first 11 boxes contain 'X', the 12th box contains '4' (highlighted in red), and the last two boxes contain 'X'. Below the sequence, a purple label 'head' has a blue arrow pointing to the 13th box (the first 'X' after the red '4').



```
{  
    unique_lock lock(mutex);  
    if (waiting++ == 0)  
        head.set_waitbit();  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
    if (--waiting == 0)  
        head.clear_waitbit();  
}
```

```
int pop() {  
    ...CAS(head, oldhead, tmp+1);  
    if (oldhead.waitbit()) {  
        unique_lock lock(mutex);  
        cond_full.notify();  
    }  
}
```

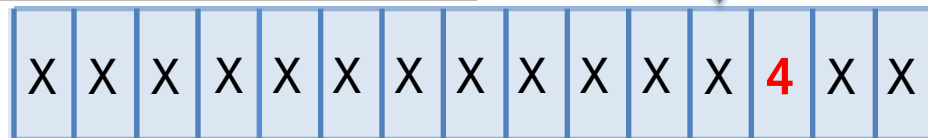


\*head



```
{  
    unique_lock lock(mutex);  
    if (waiting++ == 0)  
        head.set_waitbit();  
    while ( ! ...find_tail... )  
        cond_full.wait(lock);  
    if (--waiting == 0)  
        head.clear_waitbit();  
}
```

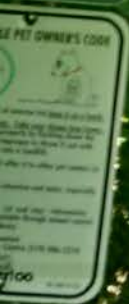
```
int pop() {  
    ...CAS(head, oldhead, tmp+1);  
    if (oldhead.waitbit()) {  
        unique_lock lock(mutex);  
        cond_full.notify();  
    }  
}
```



**\*head** ↗

NOTE: **waiting** is NOT atomic







# Looking Back



# Looking Back

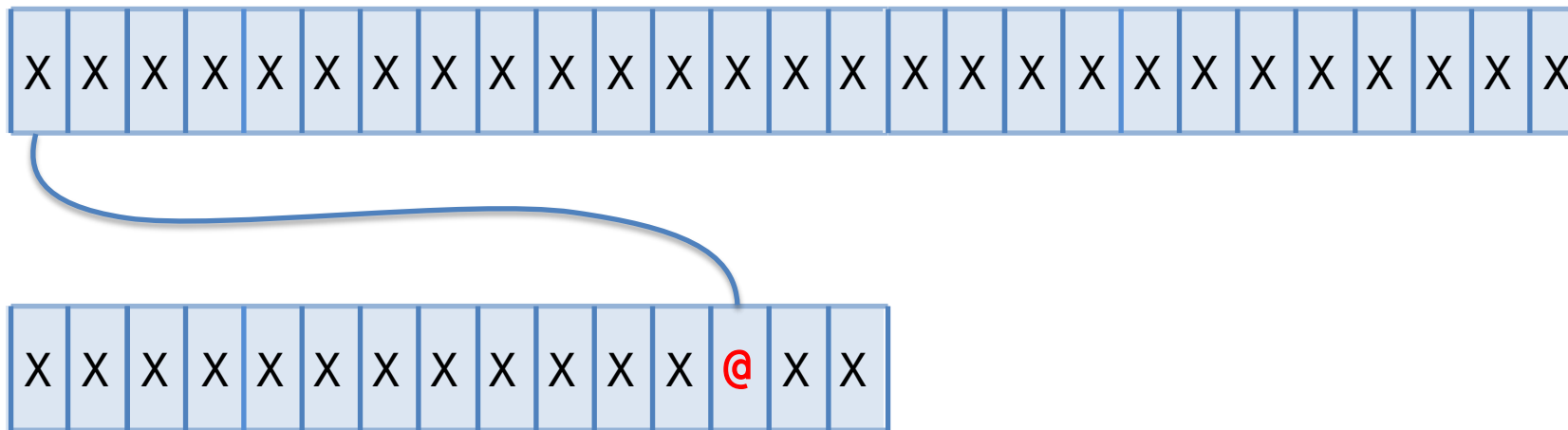
push()

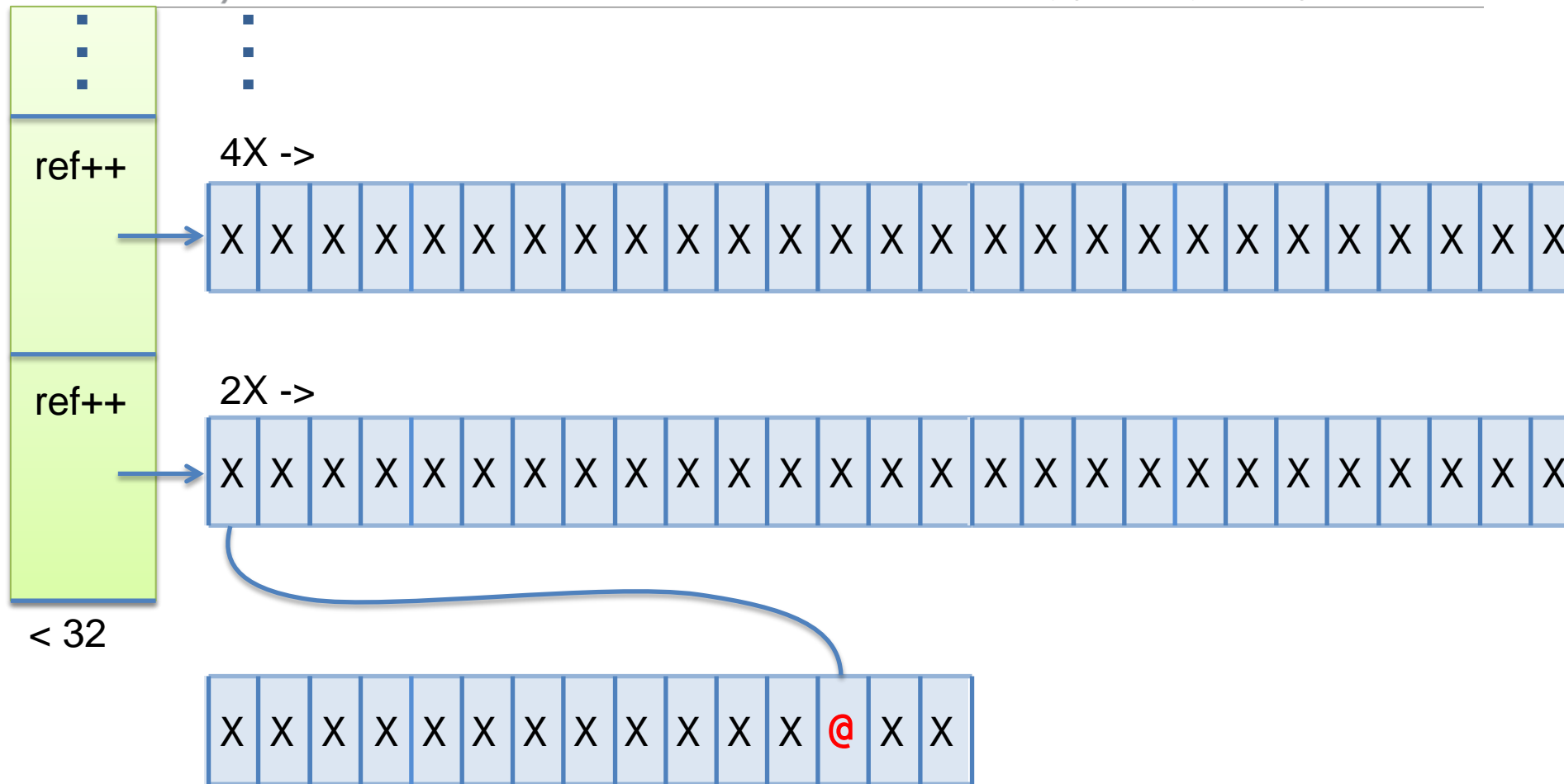


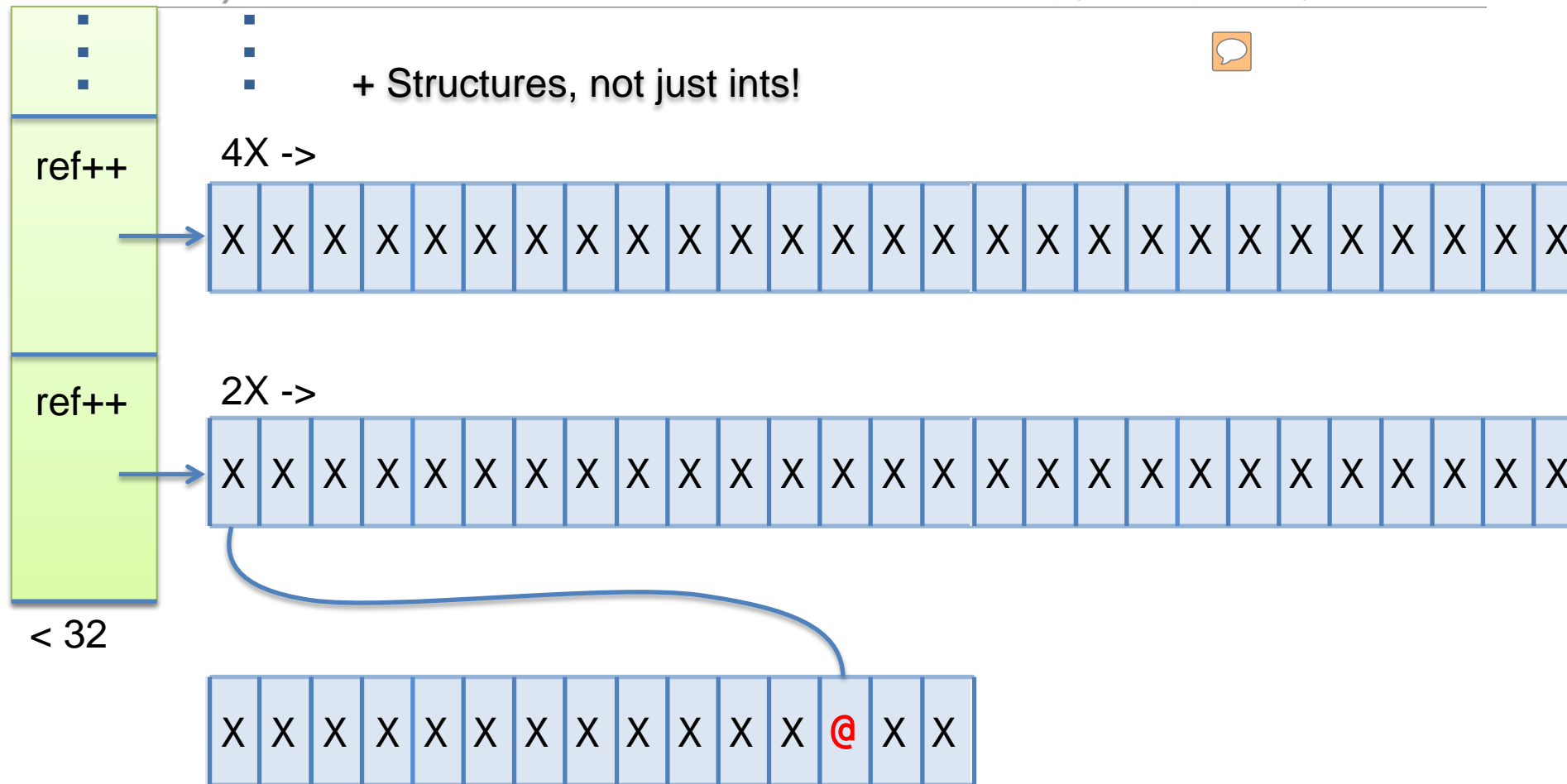
# Looking Ahead

[illegible]

[illegible]


$$2X \rightarrow$$










# “The Problem with Threads”

<http://ptolemy.eecs.berkeley.edu/>

<http://ptolemy.eecs.berkeley.edu/publications/papers/06/problemwithThreads/>

“A part of the Ptolemy Project experiment was to see whether **effective software engineering practices** could be developed for an academic research setting. We developed a process that included a code maturity rating system (with four levels, red, yellow, green, and blue), **design reviews, code reviews, nightly builds, regression tests**, and **automated code coverage metrics**. The portion of the kernel that ensured a consistent view of the program structure was written in early 2000, design reviewed to yellow, and code reviewed to green. The **reviewers included concurrency experts**, not just inexperienced graduate students (Christopher Hylands (now Brooks), Bart Kienhuis, John Reekie, and myself were all reviewers). We wrote **regression tests that achieved 100 percent code coverage**. The nightly build and regression tests ran on a two processor SMP machine, which exhibited different thread behavior than the development machines, which all had a single processor. The Ptolemy II **system** itself began to be **widely used**, and every use of the system exercised this code. **No problems were observed until the code **deadlocked** on April 26, 2004, four years later.**”



**All states are valid states for all lines of code!**